

## **Recognising problems with a solicitor**

Reviewed 25 November 2019

The greatest <u>risks [https://upgrade.sra.org.uk/consumers/using-solicitor/legal-jargon-explained#risk]</u> posed to the public and consumers of <u>legal services</u> [<u>https://upgrade.sra.org.uk/consumers/using-solicitor/legal-jargon-explained#legal-services]</u> are fraud, dishonesty and serious misconduct. We guard against these risks.

Check our <u>scam alerts [https://upgrade.sra.org.uk/consumers/scam-alerts/]</u> for details of recent and ongoing scams.

## Gathering information - contact us

We have a unit dedicated to gathering information and intelligence. If you know - or suspect - that a person or <u>firm</u> [<u>https://upgrade.sra.org.uk/consumers/using-solicitor/legal-jargon-explained#firm</u>] regulated by us, or one of their employees, is involved in dishonesty or fraud, <u>contact us [https://upgrade.sra.org.uk/home/contact-us/]</u> immediately.

Any report you make can be treated with strict confidentiality.

## What should I report?

Examples of the type of conduct you can report to us:

- <u>Abandonment or sudden closure</u> [<u>https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/abandonment-closure/]</u> of a firm or <u>sole practitioner</u> [<u>https://upgrade.sra.org.uk/consumers/using-solicitor/legal-jargon-explained#sole-practitioner</u>]
- <u>Arrest, charge or conviction</u> [<u>https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/arrest-charge-conviction/]</u> of a person regulated by us
- <u>Suspected dishonesty [https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/dishonesty-suspected/]</u>
- Fraud [https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/fraud/]
- <u>Financial problems [https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/financial-problems/]</u>
- A person or firm <u>pretending to be a solicitor</u>, [<u>https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/bogus-fake-solicitors/]</u> Or
- a regulated individual or firm being involved in a <u>scam</u> [https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/scams/].
- If you suspect that a law firm or a solicitor is involved with a <u>'get</u> <u>rich quick' scheme [https://upgrade.sra.org.uk/consumers/problems/fraud-</u> <u>dishonesty/investment-schemes/]</u>, or if you have become involved with a scheme.



• <u>A legal threat from a solicitor</u> [https://upgrade.sra.org.uk/consumers/problems/fraud-dishonesty/legal-threatssolicitor/] or law firm

We encourage you to <u>contact us [https://upgrade.sra.org.uk/home/contact-us/]</u> immediately—even if you are in doubt about reporting. You can also use our <u>report a solicitor page [https://upgrade.sra.org.uk/consumers/problems/report-</u><u>solicitor/]</u> to send us information.