

### Archive

## Board meeting - 7 July 2025

Location: Liverpool

### **Related information**

- <u>SRA Board agenda 7 July 2025 (PDF 1 page, 83KB)</u> <u>Ihttps://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/july/sra-board-agenda-7-july-2025.pdf1</u>
- <u>SRA Board item CEO report 7 July 2025 (PDF 7 pages, 261KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/july/sra-board-item-ceo-report-7-july-2025.pdf]</u>
- <u>SRA Board item MLRO Annual Report (PDF 5 pages, 180KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/july/sra-board-item-mlro-annual-report.pdf]</u>
- Annex 1 MLRO Annual Report (PDF 7 pages, 223KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/july/annex-1-mlro-annual-report.pdf]
- SRA Board item Practising fees 2025-26 (PDF 7 pages, 269KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/july/sra-board-item-practising-fees-2025-26.pdf]
- Annex 1 PC fee 2025 (PDF 4 pages, 165KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/july/annex-1-pc-fee-2025.pdf]
- <u>Annex 2 Recognised Body and Recognised Sole Practice Fee</u> <u>Determination 2025 (PDF 10 pages, 345KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2025/july/annex-2-recognised-body-and-recognised-sole-practice-fee-determination-2025.pdf]
- <u>Annex 3 Licensed Body Fee Determination 2025 (PDF 6 pages,</u> <u>197KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/july/annex-3-licensed-body-fee-determination-2025.pdf]</u>
- Annex 4 Determination of Compensation Fund contributions 2025 (PDF 2 pages, 129KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/july/annex-4-determination-of-compensation-fund-contributions-2025.pdf]
- Annex 5 Determination of Compensation Fund contributions for Licensed Bodies 2025 (PDF 1 page, 111KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/july/annex-5-determination-of-compensation-fund-contributions-forlicensed-bodies-2025.pdf]
- Annex 6 summary of consultation feedback on draft Business Plan and budget consultation 2025-26 (PDF 7 pages, 193KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/july/annex-6-summary-of-consultation-feedback-on-draft-businessplan-and-budget-consultation-2025-26.pdf]

## Board meeting - 30 June 2025



#### Location: Online

#### **Related information**

- SRA Board agenda 30 June 2025 (PDF 1 page, 81KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/june/sra-board-agenda-30-june-2025.pdf]
- <u>SRA Board minutes 30 June 2025 (PDF 5 pages, 238KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/june/sra-board-minutes-30-june-2025.pdf]</u>
- SRA Board item Budget for 1 November 2025 to 31 October 2026 (PDF 5 pages, 190KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/june/sra-board-item-budget-for-1-november-2025-to-31-october-2026.pdf]
   Annex 1 - Summary of consultation feedback on the draft budget for
- Annex 1 Summary of consultation feedback on the draft budget for 2025-26 (PDF 3 pages, 142KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/june/annex-1-summary-of-consultation-feedback-on-the-draft-budgetfor-2025-26.pdf]
- <u>SRA Board item Corporate Complaints (PDF 8 pages, 300KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/june/sra-board-item-corporate-complaints.pdf]
- Annex 1 Independent Reviewer's report (PDF 14 pages, 1.6MB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/june/annex-1-independent-reviewers-report.pdf]

# Board meeting - 29 April 2025

#### Location: Online

- <u>SRA Board agenda 29 April 2025 (PDF 1 page, 73KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/april/sra-board-agenda---29-april-2025.pdf]</u>
- SRA Board minutes 29 April 2025 (PDF 9 pages, 229KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/sra-board-minutes-29-april-2025.pdf]
- <u>SRA Board item CEO report 29 April 2025 (PDF 6 pages, 157KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/april/sra-board-item---ceo-report---29-april-2025.pdf</u>]
- <u>SRA Board item Business Plan and Budget 2025-26 (PDF 9 pages, 226KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/april/sra-board-item---business-plan-and-budget-2025-26.pdf]</u>
- Annex 4B Compensation Fund apportionment (PDF 5 pages, 163KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/annex-4b---compensation-fund-apportionment.pdf]
- <u>SRA Board item Performance Report Nov 24 to Feb 25 (PDF 5 pages, 165KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/april/sra-board-item---performance-report-nov-24-to-feb-25.pdf]</u>
- Annex 2 Balanced scorecard (PDF 10 pages, 189KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-

- Solicitors Regulation Authority
  - meetings/2025/april/annex-2---balanced-scorecard.pdf]
  - <u>Annex 3 2024-25 Business plan delivery to Feb 2025 (PDF 7 pages,</u> <u>194KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2025/april/annex-3---2024-25-business-plan-delivery-to-feb-2025.pdf]
  - <u>Annex 4 LSB Performance assessment update (PDF 4 pages,</u> <u>166KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2025/april/annex-4---lsb-performance-assessment-update.pdf</u>]
  - SRA Board item Strategic Risk (PDF 4 pages, 148KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/sra-board-item---strategic-risk.pdf]
  - SRA Board item 2023-24 SRA group SIF Comp Fund annual reports and accounts (PDF 5 pages, 146KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/sra-board-item---2023-24-sra-group-sif-comp-fund-annualreports-and-accounts.pdf]
  - <u>SRA Board item Financial Penalties (PDF 11 pages, 228KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/sra-board-item---financial-penalties.pdf]
  - Annex 1 Summary of consultation responses (PDF 3 pages, 133KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/april/annex-1---summary-of-consultation-responses.pdf]

# **Board meeting - 24 February 2025**

Location: London

### **Related information**

- <u>SRA Board agenda 24 February 2025 (PDF 1 page, 71KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/february/sra-board-agenda---24-february-2025.pdf]
- SRA Board minutes 24 February 2025 (PDF 5 pages, 165KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/february/sra-board-minutes---24-february-2025.pdf]
- SRA Board item SQE report (PDF 11 pages, 227KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/february/sra-board-item---sqe-report.pdf]
- Annex 1 SQE Annual Report 2024 [https://sqe.sra.org.uk/examarrangements/sqe-reports/]
- Annex 2 SQE Independent Reviewer Annual Report 2024
   [https://upgrade.sra.org.uk/sra/research-publications/sqe-independent-24/]
- <u>Annex 3 SQE Quality Assurance Report 2023-24</u> [https://upgrade.sra.org.uk/sra/research-publications/sqe-qa-report-23-24/]
- Annex 4 Draft action plan on differential outcomes for publication (PDF 3 pages, 110KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/february/annex-4---draft-action-plan-on-differential-outcomes-forpublication.pdf]

# Board meeting - 13 January 2025

Location: London



### **Related information**

- <u>SRA Board agenda 14 January 2025 (PDF 1 page, 81KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/sra-board-meeting-14-january-2025-agenda.pdf]
- <u>SRA Board minutes 14 January 2025 (PDF 7 pages, 183KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/minutes-of-14-january-2025-sra-board-meeting---forpublication.pdf]
- <u>SRA Board minutes Annex 1 redacted 14 January 2025 (PDF 2</u> <u>pages, 115KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/january/annex-1-to-14-january-2025-minutes-redacted.pdf]</u>
- SRA Board item 6 CEO report 14 January 2025 (PDF 10 pages, 208KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/sra-board-item-6---ceo-report.pdf]
- <u>SRA Board item 7 Performance Report to Oct 2024 14 January</u> 2025 (PDF 4 pages, 156KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/sra-board-item-7---performance-report-to-oct-2024.pdf]
- <u>SRA Board item 7 annex 2 Q4 2023/24 balanced scorecard 14</u> January 2025 (PDF 9 pages, 183KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/7-annex-2---q4-balanced-scorecard.pdf]
- SRA Board item 7 annex 3 Business Plan Update to Oct 2024 14 January 2025 (PDF 7 pages, 224KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/7-annex-3---business-plan-update-to-oct-2024.pdf]
- <u>SRA Board item 7 annex 4 LSB Performance assessment update</u> Oct 2024 - 14 January 2025 (PDF 4 pages, 200KB) [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/january/7-annex-4---lsb-performance-asessment-update-oct-2024.pdf</u>]
- <u>SRA Board item 8 Strategic Risk Update 14 January 2025 (PDF 4 pages, 153KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2025/january/sra-board-item-8---strategic-risk-update.pdf]</u>
- <u>SRA Board item 9 Improving our Balanced Scorecard 14 January</u> 2025 (PDF 6 pages, 181KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/sra-board-item-9---improving-our-balanced-scorecard.pdf]
- SRA Board item 9 annex 1 Revised balanced scorecard 24-25 14 January 2025 (PDF 11 pages, 180KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2025/january/9-annex-1---revised-balanced-scorecard-24-25.pdf]

## **Board meeting - 8 October 2024**

Location: Online

#### **Related information**

• <u>SRA Board agenda - 8 October 2024 (PDF 1 page, 83KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/october/sra-board-agenda---8-october-2024.pdf]</u>

- Solicitors Regulation Authority
  - <u>SRA Board minutes 8 October 2024 (PDF 9 pages, 190KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/sra-board-minutes---8-october-2024.pdf]
  - SRA Board item Pathway to NetZero (PDF 6 pages, 411KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/sra-board-item---pathway-to-netzero.pdf]
  - SRA Board item CEO report (PDF 8 pages, 169KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/sra-board-item---ceo-report.pdf]
  - SRA Board item Performance Report to July 2024 (PDF 5 pages, 169KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/sra-board-item---performance-report-to-july-2024.pdf]
  - Annex 2 Balanced Scorecard (PDF 9 pages, 184KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/annex-2---balanced-scorecard.pdf]
  - Annex 3 SRA Business Plan 2023-24 update (PDF 7 pages, 192KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board meetings/2024/october/annex-3---sra-business-plan-2023-24-update.pdf]
  - <u>Annex 4 LSB Performance assessment update (PDF 6 page, 212KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2024/october/annex-4---lsb-performance-asessment-update.pdf]
  - <u>SRA Board item Risk Report (PDF 4 pages, 108KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/october/sra-board-item--risk-report.pdf</u>]
  - <u>SRA Board item KPI review (PDF 4 pages, 188KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/october/sra-board-item---kpi-review.pdf</u>]
  - LSB assurance mapping update Sept 2024 (PDF 25 pages, 715KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/october/lsb-assurance-mapping-update-sept-2024.pdf]

# **Board meeting - 17 September 2024**

Location: London

- <u>SRA Board agenda 17 September 2024 (PDF 1 page, 78KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/sra-board-agenda---17-september-2024.pdf]
- SRA Board minutes 17 September 2024 (PDF 5 pages, 167KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/sra-board-minutes---17-september-2024.pdf]
- SRA Board item I and E update (PDF 7 pages, 185KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/sra-board-item---i-and-e-update.pdf]
- Annex 1 12 month update against targets (PDF 1 page, 96KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/annex-1---12-month-update-against-targets.pdf]
- <u>Annex 2 other agreed deliverables (PDF 1 page, 53KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/september/annex-2---other-agreed-deliverables.pdf]
- <u>SRA Board item Business Plan 2024-25 (PDF 8 pages, 178KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/sra-board-item---business-plan-2024-25.pdf]

- Solicitors Regulation Authority
  - <u>Annex 2 EIA on PC Fee and Compensation Fund contribution 24-25</u> (<u>PDF 7 pages, 154KB</u>) [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/september/annex-2--eia-on-pc-fee-and-compensation-fund-contribution-24-25.pdf</u>]
  - <u>Annex 2 EIA on Business Plan workstreams 2024-25 (PDF 4 pages, 135KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/september/annex-2---eia-on-business-plan-workstreams-2024-25.pdf]</u>
  - <u>Annex 3 Consultation feedback report on business plan 2024-25</u> (<u>PDF 14 pages, 238KB</u>)
     [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/september/annex-3---consultation-feedback-report-on-business-plan-2024-25.pdf</u>]
  - <u>SRA Board item Consumer Empowerment (PDF 12 pages, 255KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/sra-board-item---consumer-empowerment.pdf]
  - Annex 1 Consumer Empowerment expectations (PDF 4 pages, 164KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/september/annex-1---consumer-empowerment-expectations.pdf]

# Board meeting - 9 July 2024

#### Location: Cardiff

- <u>SRA Board agenda 9 July 2024 (PDF 1 page, 109KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/sra-board-agenda-9-july-2024.pdf]
- <u>SRA Board minutes 9 July 2024 (PDF 7 pages, 167KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/july/sra-board-minutes-9-july-2024.pdf]</u>
- SRA Board item Practising fees 2024-25 (PDF 6 pages, 113KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/sra-board-item-practising-fees-2024-25.pdf]
- Annex 1 PC fee 2024 (PDF 3 pages, 81KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/annex-1-pc-fee-2024.pdf]
- <u>Annex 2 Recognised Body and Recognised Sole Practice Fee</u> <u>Determination 2024 (PDF 11 pages, 255KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board- meetings/2024/july/annex-2-recognised-body-and-recognised-sole-practice-fee-determination-2024.pdf]</u>
- Annex 3 Licensed Body Fee Determination 2024 (PDF 6 pages, 197KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/annex-3-licensed-body-fee-determination-2024.pdf]
- Annex 4 Determination of Compensation Fund contributions 2024 (PDF 2 pages, 113KB [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/annex-4-determination-of-compensation-fund-contributions-2024.pdf]
- <u>Annex 5 Determination of Compensation Fund contributions for</u> <u>Licensed Bodies 2024 (PDF 1 page 110KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u>



meetings/2024/july/annex-5-determination-of-compensation-fund-contributions-forlicensed-bodies-2024.pdf]

- <u>SRA Board item MLRO Annual Report YE April 2024 (PDF 5 pages,</u> <u>146KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/july/sra-board-item-mlro-annual-report-ye-april-2024.pdf]</u>
- Annex 1 MLRO Annual Report YE April 2024 (PDF 7 pages, 144KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/annex-1---mlro-annual-report-ye-april-2024.pdf]
- SRA Board item Corporate Complaints (PDF 8 pages, 202KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/july/sra-board-item-corporate-complaints.pdf]
- <u>Annex 1 SRA Independent Reviewer report 2023 (PDF 13 pages 1.6MB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/july/annex-1-sra-independent-reviewer-report-2023.pdf]</u>

### Board meeting - 25 June 2024

Location: Online

- <u>SRA Board agenda 25 June 2024 (PDF 1 page, 74KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/june/sra-board-meeting-25-june-2024-agenda.pdf]
- <u>SRA Board minutes 25 June 2024 (PDF 5 pages, 160KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/june/minutes-of-25-june-2024-sra-board-meeting---draft-forpublication-1-july.pdf]</u>
- Proposed regulatory arrangements (PDF 107 pages, 2MB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/june/proposed-regulatory-arrangements.pdf]
- SRA Board item Budget for 1 November 2024 to 31 October 2025 (PDF 5 pages, 157KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/june/sra-board-item-6---budget-for-1-november-2024-to-31-october-2025.pdf]
- <u>SRA Board item SRA regulation of CILEX members (PDF 13 pages,</u> <u>328KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/june/sra-board-item-5---sra-regulation-of-cilex-members.pdf]</u>
- Annex 1 TLS letter 15 Jan 2024 CILEX proposals (PDF 3 pages, 91KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/june/5-annex-1-tls-letter-15-jan-2024-cilex-proposals.pdf]
- <u>Annex 2 Draft Non-authorised CILEX members consultation</u> <u>response (PDF 17 pages, 249KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/june/5-annex-2----draft-non-authorised-cilex-members-consultation-response.pdf]</u>
- <u>Annex 3 CRL TLS LSCP responses (PDF 19 pages, 757KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/june/5annex-3----crl-tls--lscp-responses.pdf]
- <u>Annex 4 Correspondence with CILEX (PDF 4 pages, 199KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/june/5annex-4----correspondence-with-cilex.pdf]
- <u>Annex 5 Discussion of potential risks and benefits (PDF 9 pages,</u> 205KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-



meetings/2024/june/5-annex-5---discussion-of-potential-risks-and-benefits.pdf]

- <u>Annex 6 Regulatory Impact Assessment (PDF 21 pages, 292KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/june/5annex-6---regulatory-impact-assessment.pdf]
- <u>Annex 7 Equalities Impact Assessment (PDF 12 pages, 234KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/june/5annex-7----equalities-impact-assessment.pdf]

## Board meeting - 14 May 2024

Location: Online

### **Related information**

• <u>SRA Board meeting - 14 May 2024 (PDF 66 pages, 1.62MB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-meeting--14-may-2024.pdf]</u>

### **Board meeting - 19 March 2024**

Location: Exeter

#### **Related information**

- <u>SRA Board agenda 19 March 2024 (PDF 1 page, 75KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-agenda---19-march-2024.pdf]</u>
- <u>SRA Board minutes 19 March 2024 (PDF 5 pages, 164KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-minutes--19-march-2024.pdf</u>]
- <u>SRA Board item SQE report (PDF 10 pages, 205KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sraboard-item---19-march-2024---sqe-report.pdf]
- <u>SRA Board item SQE consultations (PDF 9 pages, 173KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sraboard-item---19-march-2024---sqe-consultations.pdf]

## Board meeting - 23 January 2024

Location: London

- <u>SRA Board agenda 23 January 2024 (PDF 1 page, 84KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-agenda---23-january-2024.pdf</u>]
- <u>SRA Board minutes 23 January 2024 (PDF 9 pages, 318KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sraboard-minutes---23-january-2024.pdf]
- <u>SRA Board item CEO report 23 January 2024 (PDF 7 pages,</u> <u>264KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u>

- Solicitors Regulation Authority
  - meetings/2024/sra-board-item---ceo-report---23-january-2024.pdf]
  - <u>SRA Board item Performance reporting Q4 2023 (PDF 5 pages, 232KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-item---performance-reporting-q4-2023.pdf]</u>
  - <u>Annex 1(a) SRA Reserves Policy (PDF 2 pages, 130KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/annex-1a----sra-reserves-policy.pdf]
  - Annex 2 SRA Business Plan 2022-23 Quarter Four update (PDF 11 pages, 231KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2024/annex-2---sra-business-plan-2022-23-quarter-four-update.pdf]
  - <u>Annex 3 balanced scorecard Q4 (PDF 9 pages, 159KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/annex-3---balanced-scorecard-q4.pdf]
  - <u>Annex 4 LSB Performance assessment update (PDF 6 pages,</u> <u>177KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/annex-4---lsb-performance-asessment-update.pdf]</u>
  - Annex 5 IE completed actions as at 31.12.23 (PDF 1 page, 53KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/annex-5---ie-completed-actions-as-at-31.12.23.pdf]
  - <u>Annex 5 Table IE CI update for board (PDF 2 pages, 53KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/annex-5---table---ie-ci-update-for-board.pdf]
  - SRA Board item Q4 Risk report (PDF 4 pages, 222KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sraboard-item---q4-risk-report.pdf]
  - <u>SRA Board item CILEX (PDF 13 page, 328KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sra-board-item---cilex.pdf</u>]
  - <u>Annex 2 TLS Letter 15 Jan 2024 CILEX proposals (PDF 3 pages,</u> <u>91KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/annex-2---tls-letter-15-jan-2024---cilex-proposals.pdf]</u>
  - <u>SRA Board item Consumer protection (PDF 5 pages, 181KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/sraboard-item---consumer-protection.pdf]
  - <u>SRA Board item Financial service claims fees (PDF 13 pages,</u> <u>361KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/sra-board-item---financial-service-claims-fees.pdf]</u>
  - <u>Annex 1 Claims Management Fees Rules 2024 (PDF 8 pages,</u> <u>173KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2024/annex-1---claims-management-fees-rules-2024.pdf]</u>
  - <u>LSB assurance mapping update Jan 2024 (PDF 39 pages, 804KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2024/lsbassurance-mapping-update-jan-2024.pdf]

## **Board meeting - 12 December 2023**

Location: Online

#### **Related information**

• <u>SRA Board agenda - 12 December 2023 (PDF 1 page, 71KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---12-december-2023.pdf]



- <u>SRA Board minutes 12 December 2023 (PDF 5 pages, 258KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---12-december-2023.pdf]
- <u>SRA Board item SRA Budget (PDF 6 pages, 132KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---sra-budget.pdf]

## **Board meeting - 12 September 2023**

#### Location: Online

#### **Related information**

- <u>SRA Board agenda 12 September 2023 (PDF 1 page, 78KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-agenda---12-september-2023.pdf]</u>
- <u>SRA Board minutes 12 September 2023 (PDF 8 pages, 285KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---12-september-2023.pdf]
- <u>SRA Board item CEO report 12 September 2023 (PDF 8 pages, 181KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---ceo-report---12-september-2023.pdf]</u>
- <u>SRA Board item Third quarter performance report (PDF 5 pages,</u> <u>222KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2023/sra-board-item---third-quarter-performance-report.pdf]</u>
- Annex 2 Business Plan Quarter 3 Board Update (PDF 12 pages, 226KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/annex-2---business-plan-quarter-3-board-update.pdf]
- <u>Annex 3 Q3 balanced scorecard (PDF 8 pages, 140KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-3---q3-balanced-scorecard.pdf]
- Annex 4 SRA Board LSB assurance mapping update (28 pages, 475KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/annex-4---sra-board-lsb-assurance-mapping-update.pdf]
- <u>Annex 5 Investigation and Enforcement Action plan (PDF 4 pages,</u> <u>119KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2023/annex-5---investigation-and-enforcement-action-plan.pdf]</u>
- SRA Board item Q3 Risk report (PDF 5 pages,144KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---q3-risk-report.pdf]
- <u>SRA Board item Corporate Strategy 2023 2026 (PDF 8 pages,</u> <u>221KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---corporate-strategy-2023-2026.pdf]</u>
- <u>SRA Board item Business Plan 2023-24 (PDF 6 pages, 160KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---business-plan-2023-24.pdf]

## Board meeting - 18 July 2023

Location: Newcastle upon Tyne

- Solicitors Regulation Authority
  - <u>SRA Board agenda 18 July 2023 (PDF 1 page, 77KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---18-july-2023.pdf]
  - <u>SRA Board minutes 18 July 2023 (PDF 3 pages, 159KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---18-july-2023.pdf]
  - <u>SRA Board item Practising fees and Comp Fund contributions (PDF 4 pages, 114KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---practising-fees-and-comp-fund-contributions.pdf]</u>
  - Annex 1 PC fee determinations 2023 (PDF 3 pages, 82KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex 1---pc-fee-determinations-2023.pdf]
  - Annex 2 Recognised Body and Recognised Sole Practice Fee
     Determination 2023 (PDF 9 pages, 224KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex2---recognised-body-and-recognised-sole-practice-fee-determination-2023.pdf]
  - Annex 3 Licensed Body Fee Determination 2023 (PDF 6 pages, 197KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/annex-3---licensed-body-fee-determination-2023.pdf]
  - Annex 4 Determination of Compensation Fund contributions 2023 (PDF 3 pages, 116KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-4---determination-of-compensation-fund-contributions-2023.pdf]
  - Annex 5 Determination of Compensation Fund contributions for <u>Licensed Bodies 2023 (PDF 1 page, 111KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-5---determination-of-compensation-fund-contributions-for-licensed-bodies-2023.pdf]

# Board meeting - 27 June 2023

Location: Online

- <u>SRA Board agenda 27 June 2023 (1 page, 72KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---27-june-2023.pdf]
- <u>SRA Board minutes 27 June 2023 (5 pages, 192KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---27-june-2023.pdf]
- <u>SRA Board item Investment Update (5 pages, 151KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---investment-update.pdf]
- <u>Annex 1 SRA Reserves Policy (2 pages, 78KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---sra-reserves-policy.pdf]
- Annex 2 SRA Investment Policy (4 pages, 126KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-2---sra-investment-policy.pdf]
- <u>SRA Board item next steps for Business Plan and Budget 2023-24</u> (7 pages, 173KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/sra-board-item---next-steps-for-business-plan-and-budget-2023-24.pdf]

- Solicitors Regulation Authority
  - <u>Annex 1 Feedback from consultation and engagement (4 pages, 180KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---feedback-from-consultation-and-engagement.pdf]</u>
  - <u>SRA Board item MLRO annual report (5 pages, 151KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---mlro-annual-report.pdf</u>]
  - <u>Annex 1 MLRO Annual Report (PDF 6 pages, 134KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---mlro-annual-report.pdf]

# Board meeting - 6 June 2023

Location: Online

- <u>SRA Board agenda 6 June 2023 (PDF 1 pages, 77KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---6-june-2023.pdf]
- <u>SRA Board minutes 6 June 2023 (PDF 7 pages, 237KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---6-june-2023.pdf]
- <u>SRA Board item CEO report 6 June 2023 (PDF 7 pages, 180KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---ceo-report---6-june-2023.pdf]
- <u>Annex 1 StaR minor amendments (PDF 2 pages, 152KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---star-minor-amendments.pdf]
- <u>Annex 2 draft amendment instrument (3 pages, 156KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-2---draft-amendment-instrument.pdf]
- <u>SRA Board item Performance reporting Q2 2023 (PDF 4 pages,</u> <u>147KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2023/sra-board-item---performance-reporting-g2-2023.pdf]
- Annex 2 Business Plan Quarter 2 Board Update (PDF 11 pages, 219KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/annex-2---business-plan-quarter-2-board-update.pdf]
- <u>Annex 3 Q2 balanced scorecard (8 pages, 140KB)</u>
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-3---q2-balanced-scorecard.pdf]
- <u>SRA Board item Q2 Risk report (PDF 6 pages, 157KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---q2-risk-report.pdf]
- <u>SRA Board item LSB Reg perf assessment (PDF 7 pages, 180KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---lsb-reg-perf-assessment.pdf]
- <u>Annex 1 Regulatory Performance Assessment Framework</u>
   <u>Sourcebook (PDF 16 pages, 273KB)</u>
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex1---regulatory-performance-assessment-framework-sourcebook.pdf]
- <u>Annex 2 SRA New regulatory performance framework letter (PDF 2</u> <u>pages, 154KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2023/annex-2---sra-new-regulatory-performance-framework-letter.pdf]</u>



• <u>Annex 3 - SRA assurance for LSB performance assessment (PDF 14</u> <u>pages, 304KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2023/annex-3---sra-assurance-for-lsb-performance-assessment.pdf]</u>

## Board meeting - 25 April 2023

Location: Leeds

#### **Related information**

- <u>SRA Board agenda 25 April 2023 (PDF 1 page, 72KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-agenda----25-april-2023.pdf]</u>
- SRA Board minutes 25 April 2023 (PDF 5 pages, 185KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---25-april-2023.pdf]
- <u>SRA Board item Corporate Strategy 2023 2026 (PDF 4 pages,</u> <u>114KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---corporate-strategy-2023-2026.pdf]</u>
- <u>Annex 1 SRA Corporate Strategy 2023-26 (PDF 10 pages, 190KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1----sra-corporate-strategy-2023-26.pdf]
- <u>SRA Board item Business Plan and Budget 2023 24 (PDF 10 pages,</u> <u>223KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/sra-board-item---business-plan-and-budget-2023-24.pdf]</u>
- Annex 1 SRA Business Plan and budget 2023 2024 (PDF 27 pages, 455KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/annex-1---sra-business-plan-and-budget-2023---2024.pdf]
- SRA Board item Annual report on Corporate Complaints 2022 (PDF 7 pages, 214KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/sra-board-item---annual-report-on-corporate-complaints-2022.pdf]
- <u>Annex 1 IR for SRA Report 2022 (PDF 10 pages, 233KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---ir-for-sra-report-2022.pdf]

## Board meeting - 14 March 2023

#### Location: Online

- <u>SRA Board agenda 14 March 2023 (PDF 1 page, 79KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---14-march-2023.pdf]
- <u>SRA Board minutes 14 March 2023 (PDF 7 pages, 222KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-minutes---14-march-2023.pdf</u>]
- <u>SRA Board item CEO report 14 March 2023 (PDF 7 pages, 223KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---ceo-report---14-march-2023.pdf]
- <u>Annex 1 PSRAS amendment regulations (PDF 2 pages, 98KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-

Solicitors Regulation Authority

<u>1---psras-amendment-regulations.pdf</u>]

- <u>SRA Board item Performance reporting Q1 2023 (PDF 4 pages,</u> <u>137KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item----performance-reporting-q1-2023.pdf]</u>
- <u>Annex 2 Business Plan Update Quarter One (PDF 10 pages, 200KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-2---business-plan-update-quarter-one.pdf</u>]
- <u>Annex 3 balanced scorecard Q1 2023 (PDF 142KB, 142KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-3---balanced-scorecard-q1-2023.pdf]
- <u>Annex 4 Review of the Balanced Scorecard (PDF 4 pages, 143KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-4---review-of-the-balanced-scorecard.pdf]
- <u>SRA Board item Strategic Risk Update (PDF 4 pages, 194KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---strategic-risk-update.pdf]
- <u>SRA Board item SRA Financial Statements 2021-22 (PDF 4 pages, 119KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---sra-financial-statements-2021-22.pdf]</u>
- meetings/2023/sra-board-item---sra-financial-statements-2021-22.pdf]
   Annex 1 Solicitors Regulation Authority Limited Financial Statements 2022 (PDF 50 pages, 751KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---solicitors-regulation-authority-limited-financial-statements-2022.pdf]
- SRA Board item Compensation Fund Financial Statements 2022 (PDF 3 pages, 96KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---compensation-fund-financial-statements-2022.pdf]
- <u>Annex 1 Compensation Fund Financial Statements 2022 Financial</u> <u>Statements (PDF 24 pages, 382KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/annex-1---compensation-fund-financial-statements-2022-financial-statements.pdf</u>]

# **Board meeting - 27 February 2023**

Location: London

#### **Related information**

- <u>SRA Board agenda 27 February 2023 (PDF 1 page, 73KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---27-february-2023.pdf]
- SRA Board minutes 27 February 2023 (PDF 5 pages, 223KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---27-february-2023.pdf]
- <u>SRA Board item SQE (PDF 8 pages, 221KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---sqe.pdf]

## Board meeting - 31 January 2023

Location: Online



### **Related information**

- <u>SRA Board agenda 31 January 2023 (PDF 1 page, 71KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-agenda---31-january-2023.pdf]
- <u>SRA Board minutes 31 January 2023 (PDF 6 pages,192KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-minutes---31-january-2023.pdf]
- <u>SRA Board item PSY Consumer Protection (PDF 9 pages, 244KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item---psy-consumer-protection.pdf]
- Annex 1 SRA Indemnity Fund (Amendment) Rules 2023 (PDF 14 pages, 218KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2023/sra-board-item-annex-1---sra-indemnity-fund-amendment-rules-2023.pdf]
- <u>Annex 2 Amended SRA Indemnity Fund Rules (Comparison) (PDF, 20 pages, 154 KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item-annex-2---amended-sra-indemnity-fund-rules-comparison.pdf</u>]
- Annex 3 (ii) Analysis of responses (PDF 8 pages, 226KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item-annex-3-ii----analysis-of-responses.pdf]
- <u>SRA Board item Publication of Regulatory Decisions (PDF 10 pages,</u> <u>189KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2023/sra-board-item---publication-of-regulatory-decisions.pdf]
- Annex 1 Consultation Responses Summary (PDF 23 pages, 373KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item-annex-1---consultation-responses-summary.pdf]
- <u>Annex 2 Publishing Regulatory Decisions principles options (PDF 1 page, 126KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item-annex-2---publishing-regulatory-decisions-principles-options.pdf]</u>
- Annex 3 Proposed publication of regulatory decision template for all regulatory decisions (PDF 2 pages, 145KB)
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item-annex-3---proposed-publication-of-regulatory-decision-template-for-allregulatory-decisions.pdf]
- <u>SRA Board item Financial penalties post consultation (PDF 15 pages, 219KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item---financial-penalties-post-consultation.pdf]</u>
- <u>Annex 1 SRA Financial Penalties and Adjudication Regulations 2023</u> (<u>PDF 6 pages, 148KB</u>) [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sra-board-item-annex-1---sra-financial-penalties-and-adjudication-regulations-2023.pdf</u>]
- Annex 2 SRA consultation on Financial Penalties summary of responses (PDF 19 pages, 222KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2023/sraboard-item-annex-2---sra-consultation-on-financial-penalties-summary-ofresponses.pdf]

## **Board meeting - 6 December 2022**

Location: Online



### **Related information**

- <u>SRA Board agenda 6 December 2022 (PDF 1 page, 77KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda----6-december-2022.pdf]
- <u>SRA Board minutes 6 December 2022 (PDF 6 pages, 192KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---6-december-2022.pdf]
- <u>SRA Board item CEO report 6 December 2022 (9 pages, 225KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---ceo-report-6-december-2022.pdf]
- <u>Annex 1 KoR amendment regs (PDF 1 page, 107KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-1---kor-amendment-regs.pdf]
- <u>Annex 2 wellbeing rule changes (PDF 1 page, 117KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2---wellbeing-rule-changes.pdf]
- <u>Annex 3 Workforce senior ethnicity inclusion action plan 2022 (PDF 3 pages, 181KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-3---workforce-senior-ethnicity-inclusion-action-plan-2022.pdf]</u>
- <u>SRA Board item Performance reporting Q4 (PDF 4 pages, 132KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---performance-reporting-q4.pdf]
- <u>Annex 2 November 2022 Quarter Four Business Plan update (PDF 13 pages, 234KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2--november-2022-quarter-four-business-plan-update.pdf]</u>
- <u>Annex 3 scorecard Q4 2022 (PDF 8 pages, 152KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-3---scorecard-q4-2022.pdf]
- <u>SRA Board item Strategic Risk Dec 2022 (PDF 4 pages, 127KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---strategic-risk-dec-2022.pdf]

## **Board meeting - 18 October 2022**

Location: Online

### **Related information**

- <u>SRA Board agenda 18 October 2022 (PDF 1 page, 26KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-agenda---18-october-2022.pdf</u>]
- <u>SRA Board minutes 18 October 2022 (PDF 3 pages, 211KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---18-october-2022.pdf]
- <u>SRA Board item Wellbeing rule changes (PDF 10 pages, 186KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---wellbeing-rule-changes.pdf]
- Annex 1 rule changes and guidance (PDF 3 pages, 144KB)
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item-annex-1---rule-changes-and-guidance.pdf]

## **Board meeting - 13 September 2022**



#### Location: Online

#### **Related information**

- <u>SRA Board agenda 13 September 2022 (PDF 1 page, 76KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda----13-september-2022.pdf]
- <u>SRA Board minutes 13 September 2022 (PDF 7 pages, 203KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---13-september-2022.pdf/%20]
- <u>SRA Board item CEO report 13 September 2022 (PDF 9 pages,</u> 208KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/sra-board-item---ceo-report---13-september-2022.pdf]
- <u>SRA Board item Performance reporting O3 (4 pages, 105KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item----performance-reporting-q3.pdf]
- <u>Annex 1 Scorecard Q3 2022 (7 pages 129KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-1---scorecard-q3-2022.pdf]
- Annex 2 September 2022 Quarter Three Business Plan update (PDF 13 pages, 243KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2---september-2022-guarter-three-business-plan-update.pdf]
- SRA Board item Strategic Risk update (PDF 4 pages, 102KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---strategic-risk-update.pdf]
- <u>SRA Board item 2022-23 Budget (10 pages, 170KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---2022-23-budget.pdf]
- <u>Annex 1 budget summary (PDF 1 page, 120KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-1---budget-summary.pdf]
- <u>SRA Board item PSYROC SIF post consultation (PDF 14 pages,</u> <u>231KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---psyroc-sif-post-consultation.pdf]</u>

## Board meeting - 19 July 2022

#### Location: Leeds

- <u>SRA Board agenda 19 July 2022 (PDF 1 page, 70KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda---19-july-2022.pdf]
- <u>SRA Board minutes 19 July 2022 (PDF 4 pages, 129KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---19-july-2022.pdf]
- <u>SRA Board item Practising Fees update (PDF 4 pages, 4 pages)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---practising-fees-update.pdf</u>]
- <u>SRA Board item Practising Fees and Comp Fund contributions (PDF 6 pages, 207KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u>

- Solicitors Regulation Authority
  - meetings/2022/sra-board-item----practising-fees-and-comp-fund-contributions.pdf]
  - <u>Annex 1 Practising Fee determination (PDF 3 pages, 73KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex-1---practising-fee-determination.pdf]
  - Annex 2 Recognised body and recognised sole practice fee determination.pdf [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/board-paper-annex-2---recognised-body-and-recognised-sole-practicefee-determination.pdf]
  - <u>Annex 3 Licensed body fee determination (8 pages, 222KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex-3---licensed-body-fee-determination.pdf]
  - Annex 4 Compensation Fund contributions determination (PDF 2 pages, 123KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/board-paper-annex-4---compensation-fund-contributionsdetermination.pdf]
  - Annex 5 Compensation Fund contributions for licensed bodies determination (PDF 1 page, 105KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/boardpaper-annex-5---compensation-fund-contributions-for-licensed-bodiesdetermination.pdf]
  - <u>SRA Board item Keeping of the roll (PDF 8 pages 184KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---keeping-of-the-roll.pdf]
  - <u>Annex 1 Keeping of the roll consultation response (PDF 7 pages,</u> <u>126KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2022/board-paper-annex-1---keeping-of-the-roll-consultation-response.pdf]</u>
  - <u>Annex 2 Keeping of the roll consultation analysis (PDF 12 pages,</u> 220KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/board-paper-annex-2---keeping-of-the-roll-consultation-analysis.pdf]

## Board meeting - 28 June 2022

Location: Online

### **Related information**

- <u>SRA Board agenda 28 June 2022 (PDF 1 page, 26KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda---28-june-2022.pdf]
- <u>SRA Board minutes 28 June 2022 (PDF 4 pages, 188KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---28-june-2022.pdf]
- <u>SRA Board item Consultation feedback and next steps for Business</u> <u>Plan and Budget 2022-23 (PDF 10 pages, 237KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---consultation-feedback-and-next-steps-for-business-plan-and-budget-2022-23.pdf]</u>
- Annex 1 Stakeholder engagement programme (PDF 2 pages, 125KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/annex-1---stakeholder-engagement-programme.pdf]

## Board meeting - 7 June 2022



#### Location: Online

#### **Related information**

- <u>SRA Board agenda 7 June 2022 (PDF 1 page, 76KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda---7-june-2022.pdf]
- <u>SRA Board Minutes 7 June 2022 (PDF 6 pages, 184KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---7-june-2022.pdf]
- <u>SRA Board item CEO report 7 June 2022 (PDF 10 pages, 244KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---ceo-report---7-june-2022.pdf]
- <u>SRA Board item Performance reporting Q2 (PDF 4 pages, 55KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---performance-reporting-q2.pdf]
- Annex 1 May 2022 Quarter Two Business Plan update (PDF 14 pages, 243KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/board-paper-annex---may-2022-quarter-two-business-planupdate.pdf]
- <u>Annex 2 Q2 2022 scorecard (PDF 7 pages, 106KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex---q2-2022-scorecard.pdf]
- <u>SRA Board item Risk update (PDF 5 pages, 100KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---risk-update.pdf]
- <u>SRA Board item Investment Update (PDF 4 pages, 152KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---investment-update.pdf]
- <u>Annex 1 SRA Reserves Policy (PDF 2 pages, 70KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex---sra-reserves-policy.pdf]
- <u>Annex 2 SRA Investment Policy (PDF 4 pages, 118KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex---sra-investment-policy.pdf]
- Annex 3 Investment update annex 3 SRA approach to investments (PDF 1 page, 39KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/boardpaper-annex----investment-update-annex-3-sra-approach-to-investments.pdf]
- <u>SRA Board item MLRO report (PDF 5 pages, 146KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---mlro-report.pdf]
- Annex 1 MLRO Annual Report YE April 2022 (PDF 7 pages, 172KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/board-paper-annex---mlro-annual-report-ye-april-2022.pdf]

## Board meeting - 25 April 2022

#### Location: Cardiff

#### **Related information**

• <u>SRA Board agenda - 25 April 2022 (PDF 1 page, 102KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-



board-agenda---25-april-2022.pdf]

- <u>SRA Board minutes 25 April 2022 (PDF 5 pages, 178KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---25-april-2022.pdf]
- <u>SRA Board item Business Plan and budget (PDF 9 pages, 192KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item----business-plan-and-budget.pdf]
- <u>SRA Board item Financial penalties (PDF 18 pages, 251KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item----financial-penalties.pdf]
- <u>SRA Board item Annual report on Corporate Complaints (PDF 8</u> <u>pages, 215KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---annual-report-on-corporate-complaints.pdf]</u>
- Annex 1 IR report (PDF 10 pages, 216KB)
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex 1---ir-report.pdf]

## **Board meeting - 5 April 2022**

Location: Online

- <u>SRA Board agenda 5 April 2022 (PDF 1 page, 73KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda---5-april-2022.pdf]
- <u>SRA Board minutes 5 April 2022 (PDF 5 pages, 178KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---5-april-2022.pdf]
- <u>SRA Board item Education and Training ( 7 pages, 196KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---education-and-training.pdf]
- <u>Annex 1 SQE assessment amendment regulations (PDF 9 pages,</u> <u>131KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2022/annex-1---sge-assessment-amendment-regulations.pdf]</u>
- <u>SRA Board item SRA Ltd non charitable articles (PDF 4 page, 142KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---sra-ltd-non-charitable-articles.pdf]</u>
- Annex 1 Solicitors Regulation Authority Limited Articles (PDF 19 pages, 262KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/annex-1---solicitors-regulation-authority-limited-articles.pdf]
- <u>Annex 2 Articles Comparison (PDF 19 pages, 276KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2---articles-comparison.pdf]
- <u>SRA Board item PSYROC SIF post consultation (PDF 9 pages,</u> <u>179KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item---psyroc-sif-post-consultation.pdf]</u>
- <u>Annex 1 PSYROC consultation report (PDF 14 pages, 209KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-1---psyroc-consultation-report.pdf]
- <u>Annex 2 TLS SIF Letter 23.03.2022 (PDF 2 pages, 143KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2---tls-sif-letter-23.03.2022.pdf]



## Board meeting - 22 March 2022

#### Location:

#### **Related information**

- <u>SRA Board agenda 22 March 2022 (PDF 1 page, 75KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda---22-march-2022.pdf]
- <u>SRA Board minutes 22 March 2022 (PDF 7 pages, 196KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---22-march-2022.pdf]
- <u>SRA Board item CEO report (PDF 11 pages, 261KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---ceo-report---22-march-2022.pdf]
- <u>Annex 1 EDI workplan 2022 (PDF 3 pages, 37KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-1---edi-workplan-2022.pdf]
- <u>SRA Board item Performance reporting Q1 (PDF 4 pages, 106KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---performance-reporting-q1.pdf]
- Annex 2 Quarter One Business Plan update.pdf [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-2----quarter-one-business-plan-update.pdf]
- <u>Annex 3 Q1 2022 scorecard (PDF 7 pages, 102KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/annex-3---q1-2022-scorecard.pdf]
- Annex 4 Investigation and Enforcement case handling issues. (PDF 6 pages, 151KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/annex-4---investigation-and-enforcement-case-handling-issues.pdf]
- <u>SRA Board item Risk update (PDF 6 pages, 262KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---risk-update.pdf]
- <u>SRA Board item Solicitors Regulation Authority Limited Financial</u> <u>Statements 2021 (PDF 4 pages, 128KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---solicitors-regulation-authority-limited-financial-statements-2021.pdf]
- Annex 1 SRA Financial Statements Jun 2021 Oct 2021 (PDF 43 pages, 640KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2022/annex-1---sra-financial-statements-jun-2021---oct-2021.pdf]
- SRA Board item Compensation Fund Financial Statements 2021 (PDF 3 pages, 121KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---compensation-fund-financial-statements-2021.pdf]
- <u>Annex 1 Compensation Fund Financial Statements 2021 (PDF 21</u> <u>pages, 391KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2022/annex-1---compensation-fund-financial-statements-2021.pdf]</u>

## **Board meeting - 1 February 2022**

Location: Online



### **Related information**

- <u>SRA Board agenda 1 February 2022 (PDF 1 page, 73KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-agenda-1-february-2022.pdf]
- <u>SRA Board minutes 1 February 2022 (PDF 8 pages, 187KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---1-february-2022.pdf]
- <u>SRA Board minutes 19 January 2022 (PDF 3 pages, 138KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-minutes---19-january-2022.pdf]
- <u>SRA Board item next steps in our EDI work (PDF 9 pages, 176KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sraboard-item---next-steps-in-our-edi-work.pdf]
- <u>SRA Board item health and wellbeing rule changes (PDF 8 pages,</u> <u>216KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2022/sra-board-item----health-and-wellbeing-rule-changes.pdf]</u>

## **Board meeting - 7 December 2021**

Location: Online

- <u>SRA Board agenda 7 December 2021 (PDF 1 pages, 174KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-meeting-agenda-7-december-2021.pdf</u>]
- <u>SRA Board minutes 7 December 2021 (PDF 9 pages, 327KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/minutes-of-7-december-2021-sra-board-meeting.pdf]
- <u>SRA Board item CEO report (PDF 10 pages, 298KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item-5---ceo-report.pdf]
- SRA Board item Annex 1 Solicitors Regulation Authority Limited May 2021 - Full (PDF 7 pages, 182KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item-5-annex-1---solicitors-regulation-authority-limited-may-2021---full.pdf]
- <u>SRA Board item Performance reporting Q4 (PDF 8 pages, 283KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item-6---performance-reporting-q4.pdf]
- <u>SRA Board item Annex 2 Business Plan 2020-21 SMT Report Q4</u> (<u>PDF 15 pages, 204KB</u>) [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item-6-annex-2---business-plan-2020-21-smt-report-q4.pdf</u>]
- <u>SRA Board item Annex 3 Q4 2021 scorecard (PDF 1 page, 81KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item-6-annex-3---q4-2021-scorecard.pdf</u>]
- <u>SRA Board item Strategic Risk Update (PDF 5 pages, 341B)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item-7---strategic-risk-update-.pdf]</u>
- <u>SRA Board item Balanced Scorecard Review (PDF 8 pages, 304KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item-8---balanced-scorecard-review.pdf]

- Solicitors Regulation Authority
  - <u>SRA Board item Reserves and Investment policies (PDF 8 pages,</u> <u>251KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item-9---reserves-and-investment-policies.pdf]</u>
  - SRA Board item Continuous Improvement Update (PDF 7 pages, 293KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/sra-board-item-10---continuous-improvement-update.pdf]
  - <u>SRA Board item Annex 1 three year high level PI (PDF 1 page,</u> 273KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/sra-board-item-10-annex-1---three-year-high-level-pi.pdf]
  - <u>SRA Board item Corporate Complaints 2019-2020 (PDF 8 pages, 271KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item-11---corporate-complaints-2019-2020.pdf]</u>
  - SRA Board item Annex 1 IR for SRA Report 2020 (PDF 10 pages, 233KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/sra-board-item-11-annex-1---ir-for-sra-report\_2020.pdf]

# **Board meeting - 20 October 2021**

#### Location: Online

### **Related information**

- <u>SRA Board agenda 20 October 2021 (PDF 1 page, 69KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda-20-october-2021.pdf]
- <u>SRA Board minutes 20 October 2021 (PDF 1 pages, 173KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-20-october-2021.pdf]
- <u>SRA Board item SIF (PDF 19 pages, 257KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---sif.pdf]

# **Board meeting - 14 September 2021**

Location: Online

- <u>SRA Board agenda 14 September 2021 (PDF 1 pages, 76KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda---14-september-2021.pdf]
- <u>SRA Board minutes 14 September 2021 (PDF 7 pages, 213KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes---14-september-2021.pdf]
- <u>CEO report 14 September 2021 (PDF 12 pages, 199KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/ceoreport----14-september-2021.pdf]
- Annex 1 SRA Regulatory Arrangements (Amendment) Rules 2021 (PDF 2 pages, 65KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1---sra-regulatory-arrangements-amendment-rules-2021.pdf]

- Solicitors Regulation Authority
  - <u>SRA Board item Performance reporting Q3 (PDF 7 pages, 168KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---performance-reporting-q3.pdf]
  - Annex 2 Business Plan 2020-21 Q3 (PDF 12 pages, 196KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-2---business-plan-2020-21-q3.pdf]
  - <u>Annex 3 Q3 2021 scorecard (PDF 4 pages, 80KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-3---q3-2021-scorecard.pdf]
  - <u>SRA Board Item SRR Quarter 3 Update (PDF 5 pages, 168KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item----srr-quarter-3-update.pdf]
  - SRA Board item Cyber cover (PDF 7 pages, 163KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---cyber-cover.pdf]
  - Annex 2 Changes to the MTCs cyber (PDF 3 pages, 123KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-2---changes-to-the-mtcs-cyber.pdf]
  - SRA Board item 2021-22 Budget (PDF 7 pages, 195KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---2021-22-budget.pdf]

# Board meeting - 20 July 2021

Location: London

### **Related information**

- <u>SRA Board agenda 20 July 2021 (PDF 1 page, 26KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda---20-july-2021.pdf]
- <u>SRA Board minutes 20 July 2021 (PDF 1 page, 93KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-of-20-july-2021.pdf]
- <u>SRA Board item Practising Fees (PDF 6 pages, 155KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---practising-fees.pdf]
- Annex 1 Practising Fees (PDF 3 pages, 117KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1---practising-fees.pdf]
- <u>Annex 2 Practising Fees (PDF 7 pages, 201KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-2---practising-fees.pdf]
- <u>Annex 3 Practising Fees (PDF 7 pages, 201KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-3---practising-fees.pdf]
- <u>Annex 4 Practising Fees (PDF 3 pages, 106KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-4---practising-fees.pdf]
- <u>Annex 5 Practising Fees (PDF 1 page, 105KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-5---practising-fees.pdf]

# Board meeting - 1 July 2021



#### Location: Online

#### **Related information**

- <u>SRA Board agenda 1 July 2021 (PDF 1 page, 26KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda---1-july-2021.pdf]
- <u>SRA Board minutes 1 July 2021 (PDF 3 pages, 247KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-of-1-july-2021.pdf]
- SRA Board item Business plan and budget (PDF 12 pages, 211KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---business-plan-and-budget-july.pdf]
- <u>Annex 1 Business plan and budget (PDF 3 pages, 137KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1-business-plan-and-budget-july.pdf]</u>
- <u>Annex 2 Business plan and budget (PDF 7 pages, 181KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-2-business-plan-and-budget-july.pdf]

### Board meeting - 8 June 2021

#### Location: Online

- <u>SRA Board agenda 8 June 2021 (PDF 1 Page, 77KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda-8-june-2021.pdf]
- <u>SRA Board minutes of 8 June 2021 (PDF 9 pages, 230KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-of-8-june-2021.pdf]
- <u>CEO report 8 June 2021 (PDF 10 pages, 168KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/ceoreport---8-june-2021.pdf]
- <u>Annex 1 Accreditations criteria for CE report (PDF 2 pages, 174KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1--accreditations-criteria-for-ce-report.pdf</u>]
- <u>SRA Board item performance reporting Q2 (PDF 7 pages, 169KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---performance-reporting-q2.pdf]
- Annex 2 Business Plan 2020-21 SMT Report Q2 (PDF 12 pages, 201KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/annex-2---business-plan-2020-21-smt-report-q2.pdf]
- <u>Annex 3 Q2 2021 scorecard (PDF 4 pages, 80KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-3---q2--2021-scorecard.pdf</u>]
- <u>SRA Board Item SRR Quarter 2 Update (PDF 5 pages, 155KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---srr-quarter-2-update.pdf]
- <u>SRA Board Item Solicitors Indemnity Fund (PDF 10 pages, 203KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board----solicitors-indemnity-fund.pdf</u>]

- Solicitors Regulation Authority
  - <u>SRA Board Item Update on SRA Ltd (PDF 6 pages, 144KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---update-on-sra-ltd.pdf]
  - <u>Annex 1 Solicitors Regulation Authority Limited (PDF 2 pages, 65KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1--solicitors-regulation-authority-limited.pdf]</u>
  - Annex 2 Proposed New Objects May 2021 (PDF 1 pages, 12KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex 2---proposed-new-objects-may-2021.pdf]
  - <u>SRA Board item Fee restrictions for claims management work (PDF 9 pages, 194KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-board-item---fee-restrictions-for-claims-management-work.pdf]</u>
  - <u>SRA Board Item Compensation Fund Accounts 2020 (PDF 3 pages,</u> <u>133KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2021/sra-board-item---compensation-fund-accounts-2020.pdf]</u>
  - <u>Annex 1 Compensation Fund 2020 Financial Statements (PDF 22</u> <u>pages, 390KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2021/annex-1---compensation-fund-2020-financial-statements.pdf]</u>

# Board meeting - 27 April 2021

#### Location: Online

### **Related information**

- <u>SRA Board agenda 27 April 2021 (PDF 1 page, 72KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda---27-april-2021.pdf]
- <u>SRA Board minutes of 27 April 2021 (PDF 5 pages, 262KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-of-27-april-2021.pdf]
- <u>SRA Board item Business Plan and Budget (PDF 9 pages, 208KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---business-plan-and-budget.pdf]
- <u>SRA Board item LPC proctoring (PDF 9 pages, 216KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---lpc-proctoring.pdf]

# **Board meeting - 9 March 2021**

#### Location: Online

- <u>SRA Board agenda 9 March 2021 (PDF 1 page, 75KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-agenda-9-march-2021.pdf]
- SRA Board minutes of 22 February 2021 (PDF 2 pages, 124KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/minutes-of-22-february-2021-sra-board.pdf]
- <u>SRA Board Minutes of 9 March 2021 (PDF 7 pages, 238KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sra-

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board-minutes-of-9-march-2021.pdf]

- <u>CEO report 9 march 2021 (PDF 8 pages, 202KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/ceoreport-of-9-march-2021.pdf]
- <u>Annex 1 SRA Regulatory and Disciplinary Procedure (PDF 1 page,</u> <u>55KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2021/annex-1---sra-regulatory-and-disciplinary-procedure.pdf]</u>
- SRA Board item performance reporting Q1 (PDF, 6 pages, 128KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---performance-reporting-q1.pdf]
- <u>Annex 2 Work programme update (PDF 10 pages, 178KB</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-2---work-programme-update.pdf</u>]
- <u>Annex 3 Quarter 1 2021 scorecard (PDF 4 pages, 78KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-3---quarter-1-2021-scorecard.pdf]
- <u>SRA Board Item Strategic Risk Quarter 1 Update (PDF 5 pages,</u> <u>137KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2021/sra-board-item---strategic-risk-guarter-1-update.pdf]</u>
- SRA Board item MLRO Annual Report (PDF 5 pages, 141KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---mlro-annual-report.pdf]
- Annex 1 Annual report by the Money Laundering Reporting Officer (MLRO) for the year ending October 2020 (PDF 6 pages, 142KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/annex-1---annual-report-by-the-money-laundering-reporting-officer-mlro-for-the-year-endingoctober-2020.pdf]

# Board meeting - 26 January 2021

Location: Online

### **Related information**

- <u>SRA Board agenda 26 January 2021 (PDF 1 page, 70KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-meeting-agenda-26-january-2021.pdf]
- <u>SRA Board Minutes of 26 January 2021 (PDF 5 pages, 196KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-minutes-of-26-january-2021.pdf]
- <u>CEO report 26 January 2021 (PDF 8 pages, 220KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/ceoreport----26-january-2021.pdf]
- <u>Annex 1 Final Post IP amendment regulations (PDF 8 pages,</u> <u>306KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2021/annex-1---final-post-ip-amendment-regulations.pdf]</u>
- <u>SRA Board item Our future workspace (PDF 10 pages, 194KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2021/sraboard-item---our-future-workspace.pdf]

## **Board meeting - 8 December 2020**

Location: Online



#### **Related information**

- <u>SRA Board agenda 8 December 2020 (PDF 1 page, 75KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-meeting-agenda-8-december-2020.pdf]
- Minutes of SRA Board meeting 8 December 2020 (PDF 6 pages, 242KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/sra-board-minutes-of-8-december-2020.pdf]
- <u>CEO report 8 December 2020 (PDF 10 pages, 219KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-6---ceo-report.pdf]
- <u>SRA Board item performance reporting (PDF 6 pages, 167KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item--7---performance-reporting.pdf]
- Annex 2 Work Programme 2019-20 Board Report Q4 (PDF 13 pages, 198KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/sra-board-item-7-annex-2---work-programme-2019-20-board-reportq4.pdf]
- Annex 3 Q4 scorecard (PDF 4 pages, 79KB)
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item-7-annex-3---q4-scorecard.pdf]
- <u>SRA Board item Strategic Risk (PDF 6 pages, 169KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-8----strategic-risk.pdf]

## **Board meeting - 20 October 2020**

#### Location: Online

- <u>SRA Board agenda 20 October 2020 (PDF 1 page, 73KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard---agenda-20-october-2020.pdf]
- Minutes of SRA Board meeting 20 October 2020 (PDF 6 pages, 267KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting-20-october-2020.pdf]
- <u>CEO report 20 October 2020 (PDF 5 pages, 140KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/ceoreport---20-october-2020.pdf]
- Annex 1 SRA Authorisation of Individuals (Higher Rights of Audience) (PDF 1 page, 101KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-1---sra-authorisation-of-individuals-higher-rights-of-audience.pdf]
- <u>Annex 2 SRA Determination of Compensation Fund contributions</u> (<u>Amendment</u>) (<u>PDF 1 page, 135KB</u>) [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-2---sra-determination-of-compensation-fund-contributions-amendment.pdf</u>]
- <u>SRA Board Item SRA Budget 2020/21 (PDF 6 pages, 162KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---regulation-board-work-plan-and-budget.pdf]
- <u>SRA Board item Monitoring of SRA Investments (PDF 4 pages,</u> <u>183KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u>



meetings/2020/sra-board-item---monitoring-of-sra-investments.pdf]

- <u>SRA Board item SRA Corporate Complaints (PDF 8 pages, 170KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item---sra-corporate-complaints.pdf</u>]
- <u>Annex 1 Independent Reviewer Annual Report (PDF 12 pages,</u> 246KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/annex-1---independent-reviewer-annual-report.pdf]

## **Board meeting - 28 September 2020**

Location: Online

### **Related information**

- <u>SRA Board agenda 28 September 2020 (PDF 1 page, 26KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-agenda-28-september-2020.pdf]
- Minutes of SRA Board meeting 28 September 2020 (PDF 2 pages,105KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting---28-september-2020.pdf]
- <u>SRA Board item Establishing SRA Ltd as a charity (PDF 9 pages,</u> <u>216KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item---establishing-sra-ltd-as-a-charity.pdf]</u>
- <u>Annex 1 SRA Charity Articles (PDF 17 pages, 241KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item---annex-1---sra-charity-articles.pdf]

## **Board meeting - 15 September 2020**

Location: Online

- <u>SRA Board agenda 15 September 2020 (PDF 1 page, 78KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-agenda-15-september-2020.pdf</u>]
- Minutes of SRA Board meeting 15 September 2020 (PDF 6 pages, 276KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-15-september-2020-sra-board-meeting.pdf]
- <u>CEO report 14 September 2020 (PDF 10 pages, 189KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-5---ceo-report-.pdf]
- <u>SRA Board Item Performance reporting (PDF 8 pages, 153KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-6---performance-reporting-15-september-2020.pdf]
- <u>Annex 2 Work Programme 2019-20 Board Report Q3 (PDF 11</u> <u>pages, 214KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-2---work-programme-2019-20-board-report-q3.pdf]</u>
- <u>Annex 3 Q3 Sept scorecard (PDF 4 pages, 111KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-3---q3-sept-scorecard.pdf</u>]

- Solicitors Regulation Authority
  - <u>SRA Board Item Strategic Risk Update (PDF 5 pages, 135KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-7--srr.pdf]
  - SRA Board Item SRA Business Plan (PDF 10 pages, 184KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-8---business-plan-.pdf]
  - Annex 1 responses document for Business Plan consultation (PDF <u>17 pages, 234KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/responses-document-for-business-plan-consultation.pdf]</u>
  - Annex 2 SRA business plan and budget 2020-2021 (PDF 24 pages, 285KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-2---sra-business-plan-and-budget-2020-21.pdf]
  - <u>SRA Board Item SRA Governance Handbook (PDF 5 pages, 176KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-10----sra-governance-handbook.pdf]
  - <u>SRA Governance Handbook September 2020 (PDF 12 pages,</u> <u>281KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2020/sra-governance-handbook---september-2020.pdf]</u>
  - Annex 2 SRA Committee terms of reference (PDF 4 pages, 108KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-2---sra-committee-terms-of-reference.pdf]
  - <u>Annex 4 SRA Code of Conduct September 2020 (PDF 1 page,</u> <u>75KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2020/annex-4---sra-code-of-conduct---september-2020.pdf]
  - Annex 5 Handling concerns about the conduct of members of the SRA - September 2020 (PDF 3 pages, 125KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-5---handling-concerns-about-the-conduct-of-members-of-the-sra---september-2020.pdf]
  - Annex 6 SRA Board delegation framework September 2020 (PDF 9 pages, 117KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/annex-6---sra-board-delegation-framework---september-2020.pdf]
  - <u>Annex 7 SRA Board member appraisal framework September</u> <u>2020 (PDF 1 page, 95KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-</u> <u>7---sra-board-member-appraisal-framework----september-2020.pdf</u>]

## Board meeting - 14 July 2020

#### Location: Online

- SRA Board agenda 14 July 2020 (PDF 1 page, 72KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-agenda-14-july-2020.pdf]
- <u>Minutes of SRA Board meeting 14 July 2020 (PDF 10 pages, 335KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/draft-minutes-of-14-july-2020-sra-board-meeting.pdf]</u>
- <u>CEO report 14 July 2020 (PDF 8 pages, 169KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-7---ceo-report.pdf]

- Solicitors Regulation Authority
  - <u>CEO report annex 1 SRA Indemnity rule change instrument (PDF 1</u> <u>page, 52KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2020/sra-board-item-7-annex-1---sra-indemnity-rule-change-</u> <u>instrument\_final.pdf</u>]
  - <u>SRA Board Item Review of performance reporting (PDF 8 pages,</u> <u>187KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> meetings/2020/sra-board-item--6---review-of-performance-reporting.pdf]
  - Annex 2 Work Programme 2019-20 Board Report Q2 Board (PDF 5 pages, 172KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/sra-board-item-6-annex-2---work-programme-2019-20-board-reportq2-board.pdf]
  - Annex 3 scorecard June 2020 (PDF 4 pages, 101KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-6-annex-3---scorecard-june-2020.pdf]
  - <u>SRA Board item PC fees and Comp Fund fee determinations July</u> <u>2020 (PDF 5 pages 166KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item-8---pc-fees-and-comp-fund-fee-determinations-july-2020.pdf</u>]
  - Annex 1 PC fee (PDF 3 pages, 76KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-8-annex-1---pc-fee.pdf]
  - <u>Annex 2 recognised body and recognised sole fee (PDF 7 pages, 216KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item-8-annex-2---recognised-body-and-recongnised-sole-fee.pdf]</u>
  - <u>Annex 3 Licensed Body fee (PDF 6 pages, 212KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-8-annex-3---licensed-body-fee.pdf]
  - <u>Annex 4 Comp Fund contributions (PDF 2 pages, 125KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item-8-annex-4---comp-fund-contributions.pdf</u>]
  - <u>SRA Board Item Compensation Fund Accounts 2019 (PDF 4 pages,</u> <u>182KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u> <u>meetings/2020/sra-board-item-9---compensation-fund-accounts-2019.pdf</u>]
  - Annex 5 Compensation Fund Financial Statements (PDF 23 pages, 318KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/sra-board-item-9-annex-5---compensation-fund-financialstatements.pdf]
  - <u>SRA Board item Compensation Fund Reform amended (PDF 17</u> <u>pages, 266KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item-10---compensation-fund-reform-amended.pdf]</u>
  - Annex 1 summary of other issues (PDF 11 pages, 202KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-10-annex-1---summary-of-other-issues.pdf]
  - <u>SRA Board item Advocacy consultation response (PDF 13 pages,</u> <u>238KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/sra-board-item-11---advocacy-consultation-response.pdf]</u>
  - <u>Annex 2 Higher Rights Amendments (PDF 1 page, 78KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-11-annex-2---higher-rights-amendments.pdf]

# Board meeting - 22 June 2020

Location: Online



### **Related information**

- <u>SRA Board meeting agenda 22 June 2020 (PDF, 74KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-meeting-agenda-22-june-2020.pdf]
- Minutes of SRA Board meeting 22 June 2020 (PDF, 293KB). [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting---22-june-2020.pdf]
- <u>CEO report 22 June 2020 (PDF, 222KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/ceo-report---22-june-2020.pdf</u>]
- <u>CEO report Annex 1 (PDF, 146KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/ceoreport-annex-1.pdf]
- <u>SRA Board Item Performance reporting (PDF, 129KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---performance-reporting.pdf]
- <u>Annex 1 Work Programme 2019-20 Board Report Q2 Board (PDF, 170KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-1---work-programme-2019-20-board-report-q2-board.pdf]</u>
- <u>Annex 2 balanced scorecard June 2020 (PDF, 101KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-2---balanced-scorecard-june-2020.pdf]
- SRA Board Item Strategic Risk (PDF, 145KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---strategic-risk.pdf]
- <u>SRA Board Item PII run-off cover (PDF, 170KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sra-board-item---pii-run-off-cover.pdf</u>]
- <u>Annex 1 PII market (PDF, 121KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-1---pii-market.pdf]

## Board meeting - 2 June 2020

Location: Online

### **Related information**

- <u>SRA Board meeting agenda 2 June 2020 (PDF, 22KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-meeting-agenda---2-june-2020.pdf]
- Minutes of SRA Board meeting 2 June 2020 (PDF, 262KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting-2-june-2020.pdf]
- <u>SRA Board Item SQE implementation complete (PDF, 1.5MB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-sqe-implementation-complete.pdf]
- <u>SRA Board item SQE regulations complete (PDF, 751KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---sqe-regulations-complete.pdf]

# Board meeting - 11 May 2020



#### Location: Online

#### **Related information**

- <u>SRA Board Agenda 11 May 2020 (PDF, 64KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-meeting-agenda-11-may-2020.pdf]
- Minutes of SRA Board meeting 11 May 2020 (PDF, 165KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/minutes-of-sra-board-meeting---11-may-2020.pdf]
- SRA Board item Solicitors Indemnity Fund (PDF, 169KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---sif.pdf]

## **Board meeting - 24 March 2020**

#### Location: Online

#### **Related information**

- <u>Organisational impacts of the COVID-19 coronavirus pandemic</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item-2-covid19-25-march.pdf]
- Minutes of SRA Board meeting 24 March 2020 (PDF 5 pages, 272KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting---24-march-2020.pdf]

## Board meeting - 3 March 2020

#### Location: Chester

- <u>SRA Board Agenda 3 March 2020 (PDF, 31KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/board-meeting-agenda---3-march-2020.pdf</u>]
- Minutes of SRA Board meeting 3 March 2020 (PDF, 339KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting---3-march-2020.pdf]
- <u>CEO report 3 March 2020 (PDF, 157KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/ceo-report---3-march-2020.pdf</u>]
- <u>Annex Work Programme 2019-20 Board Report (PDF, 171KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex----------work-programme-2019-20-board-report.pdf]
- <u>Annex public scorecard (PDF, 93KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex--public-scorecard.pdf</u>]

- Solicitors Regulation Authority
  - <u>SRA Board item Strategic Risk Register (PDF, 144KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---strategic-risk-register.pdf]
  - <u>SRA Board item Corporate Strategy (PDF, 199KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---corporate-strategy.pdf]
  - SRA Board item Advocacy consultation (PDF, 146KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---advocacy-consultation.pdf]
  - <u>SRA Board item Our work in Wales (PDF, 170KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---our-work-in-wales.pdf]
  - <u>SRA Board item Solicitors Indemnity Fund (PDF, 167KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---solicitors-indemnity-fund.pdf]
  - <u>SRA Board item EDI reporting and future work (PDF, 206KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---edi-reporting-and-future-work.pdf]

# Board meeting - 21 January 2020

Location: Martin Lane

### **Related information**

- <u>SRA Board Agenda 21 January 2020 (PDF, 30KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-agenda-21-janaury-2020.pdf]
- Minutes of SRA Board meeting 21 January 2020 (PDF, 303KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2020/minutes-of-sra-board-meeting-21-january-2020.pdf]
- <u>CEO report 22 January 2020 (PDF, 193KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/ceo-report-22-january-2020/]</u>
- <u>Annex LSB business plan 2020-21 (PDF, 156KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex-lsb-business-plan-2020-21/]</u>
- <u>Annex LSB Draft Business Plan (PDF, 156KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/annex---lsb-draft-business-plan.pdf]
- SRA Board item Update on the SIF (PDF, 152KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---update-on-the-sif.pdf]
- <u>SRA Board item Work programme and budget (PDF, 145KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---work-programme-and-budget.pdf]
- <u>SRA Board item Policy process (PDF, 197KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2020/sraboard-item---policy-process.pdf]

# **Board meeting - 3 December 2019**

Location: London



### **Related information**

- <u>SRA Board Agenda 3 December 2019 (PDF, 75KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-agenda-3-december-2019.pdf]
- Minutes of SRA Board meeting 3 December 2019 (PDF, 293KB). [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/minutes-of-sra-board-meeting-3-december-2019.pdf]
- <u>CEO report 3 December 2019 (PDF, 186KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/ceoreport-3-december-2019.pdf]
- <u>SRA Board item Openness of decision making (PDF, 156KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---openness-of-decision-making.pdf]
- <u>SRA Board item Openness of decision making Annex 1 (PDF, 175KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sra-board-item---openness-of-decision-making---annex-1.pdf]</u>
- SRA Board item Openness of decision making Annex 2 (PDF, 122KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/sra-board-item---openness-of-decision-making---annex-2.pdf]
- <u>SRA Board item Openness of decision making Annex 3 (PDF, 18KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sra-board-item---openness-of-decision-making---annex-3.pdf]</u>
- SRA Board item Openness of decision making Annex 4 (PDF, 152KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/sra-board-item---openness-of-decision-making---annex-4.pdf]

## **Board meeting - 22 October 2019**

Location: Birmingham

- <u>SRA Board Agenda 22 October 2019 (PDF, 29KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-agenda-22-october-2019.pdf]
- Minutes of SRA Board meeting 22 October 2019 (PDF, 176KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/minutes-of-sra-board-meeting-22-october-2019.pdf]
- <u>CEO report 22 October 2019 (PDF, 187KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/ceoreport-22-october-2019.pdf]
- <u>SRA Board Item Schedule of Delegation (PDF, 173KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---schedule-of-delegation.pdf]
- <u>SRA Board Item Schedule of Delegation Annex (PDF, 237KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/7annex-1---schedule-of-delegation.pdf]
- <u>SRA Board Item Budget and work programme (PDF, 179KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---budget-and-work-programme.pdf]
- <u>SRA Board Item Budget and work programme Annex 1 (PDF,</u> <u>194KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-</u>

- Solicitors Regulation Authority
  - meetings/2019/annex-1---2020-budget.pdf]
  - <u>SRA Board Item Budget and work programme Annex 2 (PDF, 179KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/annex-2---work-programme.pdf]</u>
  - <u>SRA Board Item MLRO annual report (PDF, 139KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sra-board-item---mlro-annual-report.pdf</u>]
  - <u>SRA Board Item MLRO annual report Annex 1 (PDF, 138KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/9annex-1---mlro-annual-report.pdf]
  - <u>SRA Board Item Corporate Complaints (PDF, 163 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---corporate-complaints.pdf]
  - <u>SRA Board Item Corporate Complaints Annex 1 (PDF, 2.39MB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/10annex-1---ombudsman-services-annual-report-2019.pdf]
  - <u>SRA Board Item Corporate Complaints Annex 2 (PDF, 179KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/10annex-2---popla-addendum.pdf]

## **Board meeting - 10 September 2019**

#### Location: Bristol

#### **Related information**

- <u>SRA Board Agenda 10 September 2019 (PDF, 29KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-agenda-10-september-2019.pdf]
- Minutes of SRA Board meeting 10 September 2019 (PDF, 162KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/minutes-of-sra-board-meeting-10-september-2019.pdf]
- <u>CEO report 10 September 2019 (PDF, 229KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/ceoreport-10-september-2019.pdf]
- <u>SRA Board item Standards and Regulations (PDF, 177KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---standards-and-regulations.pdf]
- <u>SRA Board item SQE in Welsh (PDF, 165KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item---sqe-in-welsh.pdf]

## Board meeting - 17 July 2019

Location: Birmingham

- <u>Agenda 17 July 2019 (PDF, 30K)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-agenda-17-july-2019.pdf]
- <u>Minutes of the SRA Board meeting held on 17 July 2019 (PDF, 215K)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-

- Solicitors Regulation Authority
  - meetings/2019/minutes-of-the-sra-board-meeting-held-on-17-july-2019.pdf]
  - <u>CEO report 17 July 2019 (PDF, 210K)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sra-board-item-6----ceo-report.pdf</u>]
  - <u>CEO report Annex 1 (PDF, 68K)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/item-6annex-1---legal-access-challenge.pdf]
  - <u>CEO report Annex 1 (PDF, 68K)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/item-6-annex-2---consultation-timeline.pdf</u>]
  - <u>SRA Board Item PC fees and Comp Fund fee determinations July</u> <u>2019 (PDF, 170K) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sra-board-item-8---pc-fees-and-comp-fund-fee-determinations-july-2019.pdf]</u>
  - SRA Board Item PC fees and Comp Fund fee determinations July 2019 Annex 1-5 (PDF, 393K) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/item-8annexes-1---5.pdf]
  - <u>SRA Board item 10 openness of decision making.pdf</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard--item-10---openness-of-decision-making.pdf]
  - <u>SRA Board Item Openness of decision making (PDF, 146K)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item-12---strategic-risk-register.pdf]
  - <u>SRA Board Item Strategic Risk Register (PDF, 133K)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/sraboard-item-12---strategic-risk-register.pdf]

# Board meeting - 23 May 2019

**Location:** London | Notice: We cannot publish papers 7 and 8 because these are subject to TLS consultation and LSB decision, but plan to publish them after that. We cannot publish paper 10 because it is commercially sensitive.

- Agenda 23 May 2019 (PDF, 29 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/agenda-23-may-2019.pdf]
- Minutes of the SRA Board meeting held on 23 May 2019 (PDF, 165 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/board-minutes-23-may-2019.pdf]
- <u>CEO report 23 May 2019 (PDF, 230 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/ceoreport-23-may-2019.pdf]
- Annex 5: The Law Society Compensation Fund Annual Report and Financial Statements For the Year ended 31 October 2018 (PDF, 290 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/annex-5-23-may-2019.pdf]
- SRA Board Item Compensation Fund 2018 Financial Statements (PDF, 125 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/board-item-23-may-2019.pdf]



## **Board meeting - 6 March 2019**

Location: Cardiff

#### **Related information**

- <u>Agenda 6 March 2019 (PDF, 109 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/agenda-6-march-2019.pdf]
- <u>CEO report 6 March 2019 (PDF, 209 KB)</u> <u>[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2019/ceo-report-6-march-2019.pdf]</u>
- Minutes of SRA board meeting 6 March 2019 (PDF, 132KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2019/minutes-of-sra-board-meeting-6-march-2019.pdf]

# Board meeting - 23 January 2019

Location: Birmingham

#### **Related information**

- <u>Agenda 23 January 2019 (PDF, 105 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/agenda-2019-01-23.pdf]
- <u>CEO report January 2019 (PDF, 174 KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/ceo-report-2019-1-23.pdf]</u>
- Draft minutes of 23 January 2019 Board meeting 5 Feb (PDF, 179 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/minutes-2019-1-23.pdf]
- Annex 1 post consultation position (PDF, 271 KB)
   [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/annex-12019-1-23.pdf]
- <u>Annex 2 draft amendment rules (PDF, 162 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/annex-2-2019-1-23.pdf]
- <u>SRA Board item LSB perf report (PDF, 173 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/board-item-1-2019-1-23.pdf]
- <u>SRA Board Item reporting concerns (PDF, 199 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/board-item-2-2019-1-23.pdf]
- <u>SRA Board Item approach to AML (PDF, 211 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/board-item-<u>3-2019-1-23.pdf</u>]

## **Board meeting - 5 December 2018**

Location: London



#### **Related information**

- <u>Agenda 5 December 2018 (PDF, 105 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boardagenda-5-12-2018.pdf]
- <u>Chief Executive's Report (PDF, 192 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boardceo-report-5-12-2018.pdf]
- Draft minutes of the SRA Board meeting held on 5 December 2018 (PDF, 134 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/draft-board-minutes-5-12-2018.pdf]

## **Board meeting - 24 October 2018**

Location: London

#### **Related information**

- <u>Agenda 24 October 2018 (PDF, 102 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boardagenda-24-10-2018.pdf]
- <u>Chief Executive's Report (PDF, 177 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boardceo-report-24-10-2018.pdf]
- Draft minutes of the SRA Board meeting held on 24 October 2018 (PDF, 141 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/draft-board-minutes-24-10-2018.pdf]
- <u>Board item OPBAS (PDF, 134 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boarditem-opbas-24-10-2018.pdf]

# **Board meeting - 12 September 2018**

Location: York

#### **Related information**

- Agenda 12 September 2018 (PDF, 30 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/agenda-2018-12-9.pdf]
- <u>Chief Executive's Report (PDF, 205 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/ceoreport-2018-12-9.pdf]
  </u>
- <u>Minutes of the SRA Board meeting held on 12 September 2018</u> (PDF, 130 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/board-2018-12-9-minutes.pdf]

# Board meeting - 18 July 2018

Location: Birmingham



#### **Related information**

• Draft minutes of 18 July 2018 Board meeting (PDF, 151 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/boardminutes-18-7-2018.pdf]

### Board meeting - 30 May 2018

Location: London

#### **Related information**

- <u>Agenda 30 May 2018 (PDF, 78 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-30-05-agenda.pdf]
- <u>Chief Executive's Report (PDF, 214 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-03-07-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 30 May 2018 (PDF, 134 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/board-2018-30-5-minutes.pdf]
- <u>Signed compensation fund accounts 2017 (PDF, 6511 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-30-05-compensation-fund-accounts-2017.pdf]

## **Board meeting - 7 March 2018**

Location: Cardiff / Caerdydd

#### **Related information**

- <u>Agenda 31 January 2018 (PDF, 110 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-03-07-agenda.pdf]
- <u>Chief Executive's Report (PDF, 189 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-03-07-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 7 March 2018 (PDF, 130 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/board-2018-03-07-minutes.pdf]

### **Board meeting - 31 January 2018**

Location: Birmingham

#### **Related information**

• <u>Agenda 31 January 2018 (PDF, 26 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-31-1-agenda.pdf]



- <u>Chief Executive's Report (PDF, 57 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-31-1-ceo-report.pdf]
- <u>Minutes of the SRA Board meeting held on 31 January 2018 (PDF, 40 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-31-1-minutes.pdf]</u>
- Insurance Fraud Taskforce (PDF, 143 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2018/board-2018-31-1-insurance-fraud-taskforce.pdf]

# **Board meeting - 6 December 2017**

Location: London

#### **Related information**

- <u>Agenda 6 December 2017 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-12-06-agenda.pdf]
- <u>Chief Executive's Report (PDF, 338 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-12-06-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 06 December 2017 (PDF, 237 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2017/board-2017-12-06.pdf]

# **Board meeting - 25 October 2017**

Location: Birmingham

#### **Related information**

- <u>Agenda 25 October 2017 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-10-25-agenda.pdf]
- <u>Chief Executive's Report (PDF, 254 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-10-25-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 25 October 2017 (PDF, 232 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2017/board-2017-10-25-minutes.pdf]

# **Board meeting - 13 September 2017**

Location: Manchester

#### **Related information**

• <u>Agenda 13 September 2017 (PDF, 33 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-



2017-10-13-agenda.pdf]

- <u>Chief Executive's Report (PDF, 277 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-10-13-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 13 September 2017 (PDF, 155 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2017/board-2017-10-13-minutes.pdf]

## Board meeting - 12 July 2017

Location: Birmingham

### **Related information**

- <u>Agenda 12 July 2017 (PDF, 27 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-07-12-agenda.pdf]
- <u>Chief Executive's Report (PDF, 131 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-07-12-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 12 July 2017 (PDF, 110 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2017/board-2017-07-12-minutes.pdf]
- <u>An update on operational performance (PDF, 148 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-07-12-ops-performance.pdf]
- <u>An update on operational performance (annexes) (PDF, 676 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-07-12-ops-performance-annexes.pdf]
- Update on the 2016-17 Research Programme (PDF, 225 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-07-12-research-update.pdf]

# Board meeting - 31 May 2017

Location: London

- <u>Agenda 31 May 2017 (PDF, 38 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-agenda.pdf]
- <u>Chief Executive's Report (PDF, 107 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-ceo-report.pdf]
- Minutes of the SRA Board meeting held on 31 May 2017 (PDF, 89 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2017/board-2017-05-31-minutes.pdf]
- Annex 1a: Summary of sources of assurance obtained Financial Statements (PDF, 107 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-annex-1a.pdf]

- Solicitors Regulation Authority
  - Annex 1b: Summary of sources of assurance obtained Letter of <u>Representation (PDF, 192 KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-annex-1b.pdf]</u>
  - <u>Annex 2: Compensation Fund Statement of disclosure (PDF, 94 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-annex-2.pdf]
  - <u>Annex 3: Compensation Fund Letter of Representation (PDF, 164 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-annex-3.pdf]
  - Annex 4: Compensation Fund Financial Statements Year ended 31
     Oct 2016 (PDF, 133 KB)
     [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board2017-05-31-annex-4.pdf]
  - <u>Annex 5: Deloitte Report (PDF, 1,197 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-annex-5.pdf]
  - SRA Board confidential item 10 Comp Fund 2016 (PDF, 70 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-05-31-comp-fund.pdf]

# Board meeting - 8 March 2017

#### Location: Cardiff

#### **Related information**

- <u>Agenda 8 March 2017.pdf</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2018/agenda-8-march-2017.pdf]
- <u>Minutes of the meeting held on 18 January 2017 (PDF, 120 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-03-prev-meeting-minutes.pdf]
- Public summary 8 March 2017 (PDF, 65 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-03-08-summary.pdf]
- 2015/16 annual report from the Independent Reviewer of corporate complaints, Ombudsman Services. (PDF, 498 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2017/board-2017-03-08-annual-report-independent-reviewer.pdf]

# **Board meeting - 7 December 2016**

Location: Grange Holborn Hotel, London, WC1B 4AR

- <u>Public Agenda 7 December 2016 (PDF, 29 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-12-07-agenda.pdf]
- <u>Minutes of the meeting held on 26 October 2016 (PDF, 84 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-10-26-prev-meeting-minutes.pdf]



- <u>Chief Executive's Report (PDF, 132 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-12-07-ceo-report.pdf]
- <u>Asylum Thematic Review Project (PDF, 136 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-12-07-asylum.pdf]
- Risk Outlook 2016/17: Autumn update and topic papers (PDF, 149 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2016/board-2016-12-07-risk-outook-info-sec.pdf]
- <u>Research Programme 2016/17 (PDF, 159 KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-12-07-research-programme.pdf</u>]

# **Board meeting - 26 October 2016**

Location: The Cube, Birmingham

### **Related information**

- <u>Public Agenda 26 October 2016 (PDF, 27 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-10-26-agenda.pdf]
- <u>Minutes of the meeting held on 13 September 2016 (PDF, 100 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-10-26-prev-meeting-minutes.pdf]
- <u>Chief Executive's Report (PDF, 127 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-10-26-ceo-report.pdf]
- Investment Schemes and Consumer Risk (PDF, 271 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-10-26-consumer-risk.pdf]

# **Board meeting - 13 September 2016**

Location: Grange Holborn Hotel, London, WC1B 4AR

- <u>Public Agenda 13 September 2016 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-09-13-agenda.pdf]
- <u>Minutes of the meeting held on 13 July 2016 (PDF, 68 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-09-13-prev-meeting-minutes.pdf]
- Chief Executive's Report (PDF, 139 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-09-13-ceo-report.pdf]
- <u>Compensation Fund 2015 Financial Statements (PDF, 483 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-09-13-comp-fund-statements.pdf]
- Risk Outlook 2016/17: release of a paper about the United Kingdom exiting the European Union (PDF, 2123 KB)



[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-09-13-risk-outlook.pdf]

# Board meeting - 13 July 2016

Location: The Cube, Birmingham

### **Related information**

- <u>Public Agenda 13 July 2016 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-07-13-agenda.pdf]
- <u>Minutes of the meeting held on 1 June 2016 (PDF, 85 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-07-13-prev-meeting-minutes.pdf]
- <u>Chief Executive's Report (PDF, 260 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-07-13-ceo-report.pdf]
- 2016/17 Practising Fees and Compensation Fund contributions final decisions (PDF, 350 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-07-13-practising-fees.pdf]
- <u>Research Programme 2015/16: Update (PDF, 161 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-07-13-research-programme.pdf]

# Board meeting - 1 June 2016

Location: Grange Holborn Hotel, London, WC1B 4AR

- <u>Public Agenda 1 June 2016 (PDF, 17 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-agenda.pdf]
- <u>Minutes of the meeting held on 9 March 2016 (PDF, 27 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-prev-meeting-minutes.pdf]
- <u>Chief Executive's Report (PDF, 146 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-ceo-report.pdf]
- <u>Legal Services Board Performance Reports (PDF, 99 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-lsb-performance-report.pdf]
- Looking to the Future: Handbook Review (PDF, 1400 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-lttf.pdf]
- Looking to the Future: Phase 3 of the Accounts Rules Review (PDF, 718 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2016/board-2016-06-01-accounts-rules.pdf]
- The Insurance Act 2015 and consequential changes to the Handbook (PDF, 112 KB)



[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-06-01-indemnity-rules.pdf]

## **Board meeting - 9 March 2016**

Location: Grange Holborn Hotel, London, WC1B 4AR

### **Related information**

- <u>Public Agenda 9 March 2016 (PDF, 17 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-03-09-agenda.pdf]
- <u>Minutes of the meeting held on 20 January 2016 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-03-09-prev-meeting-minutes.pdf]
- <u>Chief Executive's Report (PDF, 119 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-03-09-ceo-report.pdf]
- Professional indemnity insurance post six year run-off cover (PDF, 45 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2016/board-2016-03-09-pii.pdf]
- Rule change on reserved activities (PDF, 37 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-03-09-reserved-activities.pdf]
- Quality Assurance Scheme for Advocates: appeal rule change (PDF, 29 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2016/board-2016-03-09-qasa-appeals.pdf]

# Board meeting - 20 January 2016

Location: The Cube, Birmingham

- <u>Public Agenda 20 January 2016 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-01-20-agenda.pdf]
- <u>Minutes of the meeting held on 2 December 2015 (PDF, 29 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-01-20-prev-meeting-minutes.pdf]
- <u>Chief Executive's Report (PDF, 109 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-01-20-ceo-report.pdf]
- Independent Complaint Resolution Service (ICRS) Annual Report (PDF, 88 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2016/board-2016-01-20-icrs-report.pdf]
- <u>Changes to the Quality Assurance Scheme for Advocates:</u> <u>consultation response and rule change (PDF, 69 KB)</u> [<u>https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-01-20-qasa.pdf</u>]
- <u>Minutes of the Regulatory Risk Committee Meeting held on 8</u>
   <u>December 2015 (PDF, 17 KB)</u>



[https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2016/board-2016-01-20-rrc-minutes.pdf]

### **Board meeting - 2 December 2015**

**Location:** Perseus Suite, Grange Holborn, 50-60 Southampton Row, London, WC1B 4AR

#### **Related information**

- <u>Public Agenda 2 December 2015 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-agenda.pdf]
- <u>Minutes of the meeting held on 21 October 2015 (PDF, 28 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-minutes-oct.pdf]
- <u>Chief Executive's Report (PDF, 116 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-ceo-report.pdf]
- The SRA Business Plan 2015/16 (PDF, 112 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-business-plan.pdf]
- <u>SRA Research Programme 2015/16 (PDF, 63 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-research-programme.pdf]
- <u>Minutes of the Regulatory Risk Committee Meeting held on 7</u> October 2015 (PDF, 18 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-12-02-rrc-minutes-oct.pdf]

# **Board meeting - 21 October 2015**

Location: The Cube, Birmingham

- <u>Public agenda (PDF, 17 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-10-21-public-agenda.pdf]
- <u>Minutes of the meeting held on 9 September 2015 (PDF, 32 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-10-21-minutes-sept-meeting.pdf]
- <u>Chief Executive's Report (PDF, 42 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-10-21-ceo-report.pdf]
- 2015 Regulatory Standards Self Assessment Submission to the Legal Services Board (PDF, 612 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-10-21-lsb-self-assessment.pdf]
- <u>Charging for Deemed Approved Compliance Officers in Alternative</u> <u>Business Structure (ABS) New Firm Applications (PDF, 39 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-10-21-charging-compliance-officers-abs.pdf]



## **Board meeting - 9 September 2015**

Location: Grange Holborn Hotel, London

#### **Related information**

- <u>Public agenda (PDF, 29 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-public-agenda.pdf]
- Minutes of the meeting held on 15 July 2015 (PDF, 83 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-minutes-jul.pdf]
- <u>Chief Executive's Report (PDF, 41 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-chief-executive-report.pdf]
- Equality, Diversity & amp; Inclusion (EDI) Committee Meeting held on 7 July 2015 public minutes (PDF, 24 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-edi-minutes-jul.pdf]
- <u>Regulatory Risk Committee meeting held on 7 July 2015 public</u> <u>minutes (PDF, 18 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-rrc-minutes-jul.pdf]
- <u>Regulation of Consumer Credit Activities (PDF, 76 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-09-09-regulation-consumer-credit-activities.pdf]
- <u>Regulation of Consumer Credit Activities (Supplemental paper) (PDF,</u> 45 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-09-09-regulation-consumer-credit-activitiessupplement.pdf]
- Improving regulation: targeted and proportionate measures (PDF, 142 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-09-09-improving-regulation.pdf]

# Board meeting - 15 July 2015

Location: The Cube, Birmingham

- <u>Public agenda (PDF, 30 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-agenda.pdf]
- Minutes of meeting held on 3 June 2015 (PDF, 42 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-minutes-jun.pdf]
- <u>Chief Executive's Report (PDF, 137 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-ceo-report.pdf]
- <u>Professional standards (PDF, 27 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-professional-standards.pdf]

- Solicitors Regulation Authority
  - <u>Minutes of Education and Training Committee meeting held 18 June</u> 2015 (PDF, 8 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-07-15-minutes-june-etc-meeting.pdf]
  - SRA Amendments to Regulatory Arrangements (Recognised Sole Practice) Rules 2015 (PDF, 266 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-sole-practitioners.pdf]
  - 2015/16 Practising Fees and Compensation Fund contributions final decisions (PDF, 189 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-practising-fee-determination.pdf]
  - Overseas Accounts Requirements (PDF, 131 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-overseas-accounts-requirements.pdf]
  - <u>Reporting accountant requirements (PDF, 6555 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-07-15-reporting-accounts.pdf]
  - Changes to the delivery of the Qualified Lawyers' Transfer Scheme (QLTS) assessment and proposed increase in candidate fee (PDF, 36 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-07-15-kaplan-qlts.pdf]

# Board meeting - 3 June 2015

Location: Grange Holborn Hotel, London, WC1B 4AR

- <u>Public agenda, 3 June 2015 (PDF, 29 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-publicagenda.pdf]
- <u>Minutes of the meeting held on 11 March (PDF, 21 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-minutesmarch11.pdf]
- <u>Committee membership (PDF, 16 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-committeemembership-6.pdf]
- <u>Chief Executive's Report (PDF, 46 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-ceoreport-7.pdf]
- <u>Minutes of Regulatory Risk Commitee meeting held on 8 April 2015</u> (PDF, 21 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-06-03-publicminutes-11.pdf]
- <u>Compensation Fund Contribution for 2015/16 (PDF, 272 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-comp-fund-contribution-8.pdf]
- <u>Schedule of Delegation Compensation Fund (PDF, 50 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-06-03-schedule-delegation-comp-fund-9.pdf]
- Changes to the separate business rule and to activities that can be performed by recognised bodies and recognised sole practitioners (PDF, 713 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/boardmeetings/2015/board-2015-06-03-separate-business-rule-changes-10.pdf]



## **Board meeting - 11 March 2015**

Location: Martin Lane, London

#### **Related information**

- <u>Public Agenda, 11 March 2015 (PDF, 31 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-public-agenda.pdf]
- <u>Minutes of the meeting held on 21 January 2015 (PDF, 38 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-minutes-feb.pdf]
- <u>Chief Executive's Report (PDF, 46 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-chief-executive-report.pdf]
- Independent Complaints Report (PDF, 103 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-icr.pdf]
- <u>A proposed Competence Statement for Solicitors Consultation</u> <u>Response (PDF, 574 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-competence-statement.pdf]
- <u>Reporting accountant requirements and Overseas Rules (PDF, 83</u> <u>KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-reporting-acc-and-overseas.pdf]</u>
- <u>Regulation of insolvency practice (PDF, 84 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-reg-insolvency-practice.pdf]
- Draft SRA Amendments to Regulatory Arrangements (Sole Practitioner) Rules 2015 (PDF, 63 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-amends-reg-arrangements-sole-prac.pdf]
- <u>Amendments to the Quality Assurance Scheme for Advocates</u> (<u>QASA</u>) (<u>PDF</u>, <u>125 KB</u>) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-amends-qasa-regs.pdf]
- <u>Higher Rights of Audience Regulations 2011 removal of transitional arrangements (PDF, 115 KB)</u> [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-higher-rights-regs.pdf]
- Qualified Lawyers Transfer Scheme (QLTS) Changes to regulatory arrangements (PDF, 58 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-qlts.pdf]
- <u>Minutes of Regulatory Risk Commitee meeting held on 24 February</u> 2015 (PDF, 19 KB) [https://upgrade.sra.org.uk/globalassets/documents/sra/board-meetings/2015/board-2015-03-11-rrc.pdf]

# Board meeting - 21 January 2015

Location: The Cube, Birmingham



## **Board meeting - 3 December 2014**

Location: Martin Lane, London

# **Board meeting - 29 October 2014**

Location: Martin Lane, London

## **Board meeting - 17 September 2014**

Location: Martin Lane, London

# Board meeting - 2 July 2014

Location: The Cube, Birmingham

### Board meeting - 21 May 2014

Location: Martin Lane, London

### **Board meeting - 5 March 2014**

Location: Martin Lane, London

# Board meeting - 22 January 2014

Location: Martin Lane, London