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Publishing regulatory and disciplinary decisions - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/disciplinary-publishing-regulatory-disciplinary-decisions/\]](https://upgrade.sra.org.uk/solicitors/guidance/disciplinary-publishing-regulatory-disciplinary-decisions/)

24 June 2025

Understand the approach we take when deciding whether to publish regulatory and disciplinary decisions on our website.

Complying with the UK Sanctions Regime - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/financial-sanctions-regime/\]](https://upgrade.sra.org.uk/solicitors/guidance/financial-sanctions-regime/)

1 May 2025

This guidance is to help you understand your obligations and how to comply with them. We will have regard to it when exercising our regulatory functions

Meeting our standards for good qualifying work experience - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/meeting-standards-good-qualifying-work-experience/\]](https://upgrade.sra.org.uk/solicitors/guidance/meeting-standards-good-qualifying-work-experience/)

19 February 2025

Understand how your firm can meet our expected standard when offering qualifying work experience (QWE).



Registered Swiss lawyers - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/registered-swiss-lawyers/\]](https://upgrade.sra.org.uk/solicitors/guidance/registered-swiss-lawyers/)

13 February 2025

How to practise in the UK as a Swiss lawyer.

Registered Foreign lawyers - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/registered-foreign-lawyers/\]](https://upgrade.sra.org.uk/solicitors/guidance/registered-foreign-lawyers/)

13 February 2025

How to practise in the UK as a Registered Foreign lawyer

Registered European lawyers - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/registered-european-lawyers/\]](https://upgrade.sra.org.uk/solicitors/guidance/registered-european-lawyers/)

13 February 2025

How to practise in the UK as a European lawyer.

Sham litigation - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/sham-litigation/\]](https://upgrade.sra.org.uk/solicitors/guidance/sham-litigation/)

13 January 2025

This guidance aims to bring attention to the potential threat posed by sham litigation within the legal sector, so that lawyers can take appropriate steps to protect themselves and their firms.

Marketing your services to members of the public - Warning notice

[\[https://upgrade.sra.org.uk/solicitors/guidance/marketing-public/\]](https://upgrade.sra.org.uk/solicitors/guidance/marketing-public/)

19 December 2024

This warning notice provides a reminder of your regulatory responsibilities and obligations when marketing your services to members of the public.



Legal professional privilege when working in-house - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/professional-privilege-in-house/\]](https://upgrade.sra.org.uk/solicitors/guidance/professional-privilege-in-house/)

20 November 2024

This guidance is to help you understand the key issues that in-house solicitors face when considering legal professional privilege (privilege) and how you can navigate them

Key points for governing boards, chief executives and senior officers in organisations employing in-house solicitors - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/governing-boards-chief-executives-senior-officers/\]](https://upgrade.sra.org.uk/solicitors/guidance/governing-boards-chief-executives-senior-officers/)

18 November 2024

This guidance is for any organisation that employs an in-house solicitor, to help you to understand the regulatory duties and standards they must comply with.

Reporting concerns about wrongdoing when working in-house - Case study

[\[https://upgrade.sra.org.uk/solicitors/guidance/reporting-concerns-in-house-case-study/\]](https://upgrade.sra.org.uk/solicitors/guidance/reporting-concerns-in-house-case-study/)

18 November 2024

Case study: To illustrate how our expectations operate in practice

Understanding in-house solicitors professional obligations employer - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/understanding-in-house-solicitors-professional-obligations-employer/\]](https://upgrade.sra.org.uk/solicitors/guidance/understanding-in-house-solicitors-professional-obligations-employer/)

18 November 2024

This guidance is to help your employer understand the regulatory duties and standards you must comply with as an in-house solicitor.

Reporting concerns about wrongdoing when working in-house - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/reporting-concerns-wrong-doing-working-in-house-guidance/\]](https://upgrade.sra.org.uk/solicitors/guidance/reporting-concerns-wrong-doing-working-in-house-guidance/)

18 November 2024

To help you understand what we expect you to do when you are concerned about actions taken or decisions made by your organisation.

Identifying your client when working in-house - Case study

[\[https://upgrade.sra.org.uk/solicitors/guidance/identifying-client-working-in-house-case-study/\]](https://upgrade.sra.org.uk/solicitors/guidance/identifying-client-working-in-house-case-study/)

18 November 2024

This case study should be read in conjunction with the Identifying your client when working in-house guidance.

Identifying your client when working in-house - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/identifying-client-working-in-house-guidance/\]](https://upgrade.sra.org.uk/solicitors/guidance/identifying-client-working-in-house-guidance/)

18 November 2024

Guidance: This guidance is for in-house solicitors employed by organisations not regulated by the SRA.

Internal investigations - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/internal-investigations/\]](https://upgrade.sra.org.uk/solicitors/guidance/internal-investigations/)

18 November 2024

Guidance: To help all firms we regulate and all solicitors (wherever they work) understand and manage regulatory risks and issues associated with conducting an internal investigation.

Transparency in price and service - Guidance



[\[https://upgrade.sra.org.uk/solicitors/guidance/transparency-in-price-and-service/\]](https://upgrade.sra.org.uk/solicitors/guidance/transparency-in-price-and-service/)

30 September 2024

To help you understand your obligations under our Transparency Rules.

Representing clients during claims for financial services or products

[\[https://upgrade.sra.org.uk/solicitors/guidance/representing-clients-during-claims-for-financial-services-or-products/\]](https://upgrade.sra.org.uk/solicitors/guidance/representing-clients-during-claims-for-financial-services-or-products/)

15 August 2024

This guidance will help you to understand what we expect when you represent clients during these claims.

Use of non disclosure agreements (NDAs) - Warning notice

[\[https://upgrade.sra.org.uk/solicitors/guidance/non-disclosure-agreements-ndas/\]](https://upgrade.sra.org.uk/solicitors/guidance/non-disclosure-agreements-ndas/)

6 August 2024

This reminds those we regulate of some of the key risks and issues when advising on the use of NDAs.

Claims management activity - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/claims-management-activity/\]](https://upgrade.sra.org.uk/solicitors/guidance/claims-management-activity/)

26 July 2024

This guidance explores professional duties and key risks for solicitors, law firms and their employees when representing clients during claims.

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