



Closed consultations

Consultations that have closed are listed below. When they are available, summaries and analyses of responses and policy decision announcements are included. For details, please follow the links.

You can also find out about [consultations that are open](https://upgrade.sra.org.uk/sra/consultations/)

[\[https://upgrade.sra.org.uk/sra/consultations/\]](https://upgrade.sra.org.uk/sra/consultations/).

To stay informed about our consultations, [subscribe to SRA consultation alerts](https://upgrade.sra.org.uk/sra/consultations/consultation-alerts/) [\[https://upgrade.sra.org.uk/sra/consultations/consultation-alerts/\]](https://upgrade.sra.org.uk/sra/consultations/consultation-alerts/).

Changing our requirements on first-tier complaints

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/requirements-rtc/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/requirements-rtc/?s=c)

We are consulting on proposals to change our requirements on first-tier complaints, and asking for input on our guidance to help the firms and individuals we regulate to meet these updated requirements

- **Start** — 30 May 2025
- **End** — 1 August 2025
- **Status** — Closed

[Read: Changing our requirements on first-tier complaints](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/requirements-rtc/?s=c)

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/requirements-rtc/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/requirements-rtc/?s=c)

Business Plan and Budget 2025-26

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/business-plan-budget-2025-26/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/business-plan-budget-2025-26/?s=c)

This Business Plan will cover our third and final year of work under our Corporate Strategy for 2023-26. It describes our proposed work plans and budget for the 12-month period between 1 November 2025 and 31 October 2026.

- **Start** — 8 May 2025
- **End** — 19 June 2025
- **Status** — Closed

[Read: Business Plan and Budget 2025-26](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/business-plan-budget-2025-26/?s=c)

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/business-plan-budget-2025-26/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/business-plan-budget-2025-26/?s=c)



Changes to the regulations for the Higher Rights of Audience qualification

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/changes-regulations-hra/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/changes-regulations-hra/?s=c)

We are consulting on the regulatory amendments needed to enable a sole provider to deliver the assessments for the Higher Rights of Audience (HRA) criminal and civil qualification.

- **Start** — 6 March 2025
- **End** — 18 April 2025
- **Status** — Closed

Read: [Changes to the regulations for the Higher Rights of Audience qualification](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/changes-regulations-hra/?s=c) [\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/changes-regulations-hra/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/changes-regulations-hra/?s=c)

Client money in legal services - safeguarding consumers and providing redress: The model of solicitors holding client money

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/holding-client-money/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/holding-client-money/?s=c)

Should we be looking at ways to reduce the client money held by solicitors?

- **Start** — 14 November 2024
- **End** — 21 February 2025
- **Status** — Closed

Read: [Client money in legal services - safeguarding consumers and providing redress: The model of solicitors holding client money](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/holding-client-money/?s=c) [\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/holding-client-money/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/holding-client-money/?s=c)

Client money in legal services - safeguarding consumers and providing redress: Protecting the client money that solicitors hold

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/client-money-legal-services/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/client-money-legal-services/?s=c)

What controls, checks and balances are appropriate?



- **Start** — 14 November 2024
- **End** — 21 February 2025
- **Status** — Closed

[Read: Client money in legal services - safeguarding consumers and providing redress: Protecting the client money that solicitors hold](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/client-money-legal-services/?s=c)
[<https://upgrade.sra.org.uk/sra/consultations/consultation-listing/client-money-legal-services/?s=c>]

Client money in legal services - safeguarding consumers and providing redress: Delivering and paying for a sustainable compensation fund

[<https://upgrade.sra.org.uk/sra/consultations/consultation-listing/delivering-sustainable-compensation-fund/?s=c>]

How should payments from the profession be calculated and payments from the Fund to reimburse consumers be allocated?

- **Start** — 14 November 2024
- **End** — 21 February 2025
- **Status** — Closed

[Read: Client money in legal services - safeguarding consumers and providing redress: Delivering and paying for a sustainable compensation fund](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/delivering-sustainable-compensation-fund/?s=c)
[<https://upgrade.sra.org.uk/sra/consultations/consultation-listing/delivering-sustainable-compensation-fund/?s=c>]

Financial Penalties: further developing our framework

[<https://upgrade.sra.org.uk/sra/consultations/consultation-listing/financial-penalties-further-developing-framework/?s=c>]

We are seeking views on proposals to update our approach to financial penalties in light of our new powers to issue unlimited fines for certain breaches of our rules under the Economic Crime and Corporate Transparency Act 2023 (ECCTA).

- **Start** — 28 June 2024
- **End** — 20 September 2024
- **Status** — Closed

[Read: Financial Penalties: further developing our framework](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/financial-penalties-further-developing-framework/?s=c)
[<https://upgrade.sra.org.uk/sra/consultations/consultation-listing/financial-penalties-further-developing-framework/?s=c>]



Arrangements for Regulating Non-Authorised CILEX Members

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/regulating-non-authorised-cilex-members/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/regulating-non-authorised-cilex-members/?s=c)

Proposed changes to our regulatory arrangements to allow us to regulate those CILEX members that are not authorised to carry on any reserved legal activities. These include CILEX students, paralegals and affiliates and are known throughout this paper collectively as 'non-authorised CILEX members.'

- **Start** — 20 March 2024
- **End** — 15 May 2024
- **Status** — Closed

[Read: Arrangements for Regulating Non-Authorised CILEX Members](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/regulating-non-authorised-cilex-members/?s=c)

[\[https://upgrade.sra.org.uk/sra/consultations/consultation-listing/regulating-non-authorised-cilex-members/?s=c\]](https://upgrade.sra.org.uk/sra/consultations/consultation-listing/regulating-non-authorised-cilex-members/?s=c)

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