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Misconduct

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6 August 2024

This reminds those we regulate of some of the key risks and issues when advising on the use of NDAs.

[Workplace environment: risks of failing to protect and support colleagues - Guidance](#)

[\[https://upgrade.sra.org.uk/solicitors/guidance/workplace-environment/\]](https://upgrade.sra.org.uk/solicitors/guidance/workplace-environment/)

4 May 2023

Guidance on our approach where we consider that individuals and firms have failed to take appropriate steps to look after colleagues' wellbeing.

[Workplace environment: risks of failing to protect and support colleagues - Case studies](#)

[\[https://upgrade.sra.org.uk/solicitors/guidance/workplace-environment-case-studies/\]](https://upgrade.sra.org.uk/solicitors/guidance/workplace-environment-case-studies/)

2 May 2023

Case studies to be read in conjunction with the guidance on workplace environment: risks of failing to protect and support colleagues

Convictions arising from matters of principle or social conscience - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/convictions-arising-social-conscience/\]](https://upgrade.sra.org.uk/solicitors/guidance/convictions-arising-social-conscience/)

1 September 2022

To explain your obligations when you as a legal practitioner are convicted in relation to matters of principle or social conscience.

Sexual Misconduct - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/sexual-misconduct/\]](https://upgrade.sra.org.uk/solicitors/guidance/sexual-misconduct/)

1 September 2022

Guidance about sexual misconduct allegations for those we regulate and complainants considering reporting allegations of sexual misconduct to us.

Acting with integrity - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/acting-with-integrity/\]](https://upgrade.sra.org.uk/solicitors/guidance/acting-with-integrity/)

1 September 2022

To help explain Principle 5 and the requirement to act with integrity.

Advising on leasehold provisions including ground rent clauses - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/leasehold-provisions-including-ground-rent-clauses/\]](https://upgrade.sra.org.uk/solicitors/guidance/leasehold-provisions-including-ground-rent-clauses/)

9 August 2022

To help you understand what our Standards and Regulations require when acting for clients who are buying leasehold properties and to avoid possible breaches of our requirements.

Confidentiality of client information - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/confidentiality-client-information/\]](https://upgrade.sra.org.uk/solicitors/guidance/confidentiality-client-information/)



30 June 2022

To help you understand your obligation to keep clients' information confidential.

Conduct in disputes - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/conduct-disputes/\]](https://upgrade.sra.org.uk/solicitors/guidance/conduct-disputes/)

4 March 2022

This guidance is to help you understand your obligations and how to comply with them. We will have regard to it when exercising our regulatory functions.

SRA consumer credit - Guidance

[\[https://upgrade.sra.org.uk/solicitors/guidance/consumer-credit/\]](https://upgrade.sra.org.uk/solicitors/guidance/consumer-credit/)

11 November 2020

To help SRA authorised firms involved in credit related regulated activities understand the application of Part 20 of the Financial Services and Markets Act 2000.

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